

## Consortium Lead Agreement

**Consortium Lead:** The National Library of Technology

**Entity Type:** State Contribution Organization set up by the Ministry of Education, Youth and Sports in the Czech Republic

**Location:** Technická 2710/6, 160 80 Praha, Czech Republic

**ID No.:** CZ61387142

This Consortium Lead Agreement is entered into by and between ORCID, Inc., a Delaware nonstock corporation located at 10411 Motor City Drive, Suite 750, Bethesda, MD 20817, USA ("ORCID"), and Consortium Lead, as of the Consortium Effective Date (as defined below). In consideration of the mutual promises contained herein and for other good and valuable consideration, the receipt and sufficiency of which are acknowledged, ORCID and Consortium Lead hereby agree to the terms, conditions and obligations set forth below and in Exhibit 1 and the ORCID Consortium Membership Terms and Conditions ("Terms and Conditions"), each of which is attached hereto and incorporated herein by reference.

**Capitalized terms not defined elsewhere are defined in Article 9 of Terms and Conditions.**

	Consortium Lead Main Contact	Consortium Lead Administrative Contact	Consortium Lead Technical Contact
Name:			
Title:			
Address:			
Email:			
Telephone:			

### ORCID Main Contact:

Ivo Wijnbergen, Director of Engagement, ORCID, Inc., 10411 Motor City Drive, Suite 750, Bethesda, MD 20817, USA; membership@orcid.org; [REDACTED].

**Initial Term:** 01/06/2023 ("Consortium Effective Date") through 31/05/2024, subject to termination and renewal as set forth in the Terms and Conditions.

**Consortium Members:** As further described on Exhibit 1 (attached hereto and incorporated herein by reference), Consortium Lead shall maintain a list through the ORCID self-service tool at <http://orcid.org/self-service> (as updated from time to time, the "Consortium Member List") of entities (each, a "Consortium Member" and collectively, the "Consortium Members"), including Consortium Lead if relevant, that are to be Members of ORCID and entitled to the Member Benefits, as defined on the ORCID website (<https://info.orcid.org/membership>). Consortium Lead shall complete the Consortium Member List of initial Consortium Members prior to the Consortium Effective Date. Thereafter, Consortium Lead may update the Consortium Member List from time to time. Each proposed entity shall become a Consortium Member upon acceptance by ORCID.

Consortium Lead represents and warrants that it has all necessary power and authority to (i) enter into to this Consortium Lead Agreement and the Terms and Conditions on its own behalf, (ii) act as agent and bind each Consortium Member set forth on the Consortium Member List to the Terms and Conditions, and that by signing this Consortium Lead Agreement, the Terms and Conditions shall be legally binding upon Consortium Lead and each Consortium Member listed on the Consortium Member List.

Only institutions of higher education, nonprofit organizations, and government research and funding agencies located in and organized under the laws of the Czech Republic are entitled to be covered under the Consortium Fee (defined below),



## ORCID Consortium Agreement

and Consortium Lead represents and warrants that each institution listed on the Consortium Member List is such an entity.

**Consortium Fee:**

**ORCID Classification:** Consortium

**Initial Consortium Composition:** (*# of members per tier; to be completed by ORCID*)

**Initial Consortium Fee:** (*to be completed by ORCID*)

**Consortium Lead shall be responsible for collecting and paying an annual membership fee (the “Consortium Fee”) to ORCID set forth in the fee schedule on the ORCID website at <https://info.orcid.org/membership> according to the number of Consortium Members and the fee tier of each member (the “Consortium Composition”).**

The Consortium Fee shall be due net 45 days from signing this Consortium Lead Agreement. If Consortium Lead adds any additional Consortium Members during the Initial Term or a Renewal Term resulting in an increase in the Consortium Composition set forth above, ORCID will charge additional fees on a pro-rated basis which shall be due net 45 days from the date such changes take place.

Renewal fees shall be due net 45 days from the date set forth in an annual invoice sent by ORCID. Lower or higher fees based on the Consortium Composition will be evaluated at each Renewal Term.

All payments shall be made in United States Dollars. Any undisputed payments made more than sixty (60) days after they are due and payable shall be subject to a 1.5% monthly interest charge, and ORCID reserves the right to freeze access to Consortium Members’ API Credentials until undisputed payments are made. All payments shall be made by mutually acceptable form of wire transfer to ORCID, by credit card, or check payable to ORCID Inc.

Consortium Fees exclude any applicable taxes. If the Consortium Fee is subject to any taxation (other than income taxation to ORCID in the United States), then the Consortium Lead shall be responsible for (i) the cost of such tax and (ii) outside the United States, remitting such tax wherever legally permissible.

ORCID reserves the right to charge additional fees for any additional Member Benefits that become available and that Consortium Lead elects to license on behalf of the Consortium Members during the Initial Term or a Renewal Term. If at any time during the Term or a Renewal Term there are fewer than 5 Consortium Members, the fees will be adjusted to ORCID’s standard direct membership fees and the discount rate shall no longer apply effective immediately. Annual fee increases generally will be no more than 3% per annum (not taking into account changes in the Consortium Composition or rounding of per-member fees), and ORCID will provide no less than 60 days’ advance notice to Consortium Lead of any such fee increase. ORCID reserves the right to restructure the consortium fee model from time to time at its discretion, and if such changes result in an increase in the Consortium Fee of more than 3% other than due to changes in the Consortium Composition, ORCID will provide no less than 12 months’ advance note of any such change. Notwithstanding Section 6.2 of the Terms and Conditions, Consortium Lead will be liable for all actual, direct and reasonable costs and expenses (including without limitation, reasonable attorneys’ fees) incurred by ORCID in collecting any past due amounts.

**Use of Trademark:** ORCID is a community-based initiative and as such, is eager to promote the participation of its Members. To that end, if the “Agree” box is checked below, ORCID may use during the Initial Term and any Renewal Term Consortium Lead’s name and logos for the limited purposes of indicating that ORCID and Consortium Lead have entered into this Consortium Lead Agreement, and to publicize any links Consortium Lead creates from its website to the ORCID website.

☐ Agree



## ORCID Consortium Agreement

Consortium Lead is encouraged to use ORCID's name, logo, and other trademarks (the "Marks") to announce the ORCID Consortium and its status as the Consortium Lead, provided that it correctly identifies the Marks as a trademark under US and other laws if requested by ORCID. (See [ORCID Brand Guidelines](https://info.orcid.org/orcid-for-consortia/roles-and-responsibilities).) Consortium Lead may not use the Marks in any way likely to cause confusion as to the origin of goods or services or to suggest endorsement by ORCID, except as specifically approved by ORCID in writing.

### Support:

The Consortium Fee covers the provision of Member API Credentials for each Consortium Member set forth on the Consortium Member List plus the ORCID support set forth in the Roles and Responsibilities of ORCID Consortia (available at <https://info.orcid.org/orcid-for-consortia/roles-and-responsibilities>). The Consortium Lead acknowledges (i) the roles and responsibilities of Consortium Lead set forth in Roles and Responsibilities of ORCID Consortia and agrees to implement the relevant support and services (including, without limitation, the technical support to be provided by Consortium Lead) within 90 days of the Consortium Effective Date; and (ii) the Consortium Fee is based on the Consortium Lead providing such services and failure to provide such services could result in an increase in the Consortium Fee.

### Notices:

Any notice required to be given by ORCID under the Terms and Conditions shall be given only to Consortium Lead's Main Contact. It is Consortium Lead's responsibility to keep its contact information up to date and to forward any such notice to the Consortium Members in a timely manner.

**Miscellaneous:** Sections 8.3 through 8.10 of the Terms and Conditions shall apply equally to the construction, interpretation and enforcement of this Consortium Lead Agreement as part of the Consortium Agreement. In the event of a direct conflict, this Consortium Lead Agreement shall govern over the Terms and Conditions.

This Consortium Lead Agreement and any amendments may be executed in one or more counterparts, each of which shall be deemed an original, but all of which shall constitute one agreement. **EACH PARTY MAY USE A PAPER (WET) OR ELECTRONIC SIGNATURE, EACH OF WHICH SHALL BE DEEMED TO BE AUTHENTIC AND EQUALLY ENFORCEABLE.**

This Agreement is drawn up in duplicate in Czech and English language for each of the Parties, both copies being authentic. In case of any divergence in the interpretation of this Agreement, the English version shall prevail.

IN WITNESS WHEREOF, ORCID and Consortium Lead have caused this Consortium Lead Agreement to be executed by a duly authorized representative, thereby entering into the Consortium Agreement.

**The National Library of Technology**

**ORCID, Inc.**

Signature:

Signature:

Name:

Name: Chris Shillum

Title:

Title: Executive Director

Email address:

Email address: [REDACTED]

Date:

Date:

## Exhibit 1 to the Consortium Lead Agreement: Consortium Members

Consortium Lead shall maintain the Consortium Member List through the ORCID self-service tool at <http://orcid.org/self-service>, including the information listed below. Consortium Lead should include its name as well if it is becoming an ORCID Member. Consortium Lead is responsible for ensuring that the Consortium Member List remains up to date and accurate.

- **Organization Name**
- **Main Contact: Name, Job Title, Email.** The Main Contact indicated for each Consortium Member shall be the person who represents the Consortium Member for purposes of (i) notice under the Terms and Conditions and ORCID's bylaws and (ii) membership voting, and who otherwise acts on behalf of the Consortium Member under the Terms and Conditions and under ORCID's bylaws.
- **Technical Contact: Name, Job Title, Email.** The Technical Contact shall be the person responsible for managing the Member's API Credential(s).

In addition, Consortium Lead shall maintain a shared separate document at the following address [www.identifikatory.cz](http://www.identifikatory.cz) with the following information:

- **Organization Name**
- **Organization Address**
- **Trademark License:** Indicate "Yes" if ORCID may use during the Initial Term and any Renewal Term the relevant Consortium Member's name and logos for the limited purposes of indicating that Consortium Member is a Member of ORCID and to publicize any links Consortium Member creates from its website to the ORCID website. Indicate "No" if such a license is not granted.

## ORCID Consortium Membership Terms and Conditions

**Consortium Lead:** National Library of Technology

ORCID, Consortium Lead and each entity ("Consortium Member") set forth on the Consortium Member List as described in Exhibit 1 to the ORCID Consortium Lead Agreement signed by Consortium Lead and effective as of Consortium Effective Date is bound by the terms and conditions of these ORCID Consortium Membership Terms and Conditions ("Terms and Conditions") which incorporates by reference the [ORCID Privacy Policy](#), [ORCID Dispute Procedures](#), and the [Member Benefits](#).

### **1. Grant of License from ORCID and Member Benefits.**

Each Consortium Member shall have the Member Benefits available to ORCID premium members which shall include at a minimum those benefits set forth in this Article 1. Member Benefits may be added and amended from time to time by ORCID beyond those set forth in this Article 1, and current Member Benefits shall appear on the ORCID website. All Member Benefits are subject to the terms and conditions of these Terms and Conditions. The license set forth in Section 1.1 and the other Member Benefits shall remain in effect for the Initial Term (or relevant Renewal Term) set forth in the Consortium Lead Agreement (or a renewal notice), unless earlier terminated under Article 7, or restricted under Section 4.2.

**1.1 Grant of License.** As of the Effective Date, and subject to timely payment in full by Consortium Lead of the fees set forth in the Consortium Lead Agreement, ORCID grants to each Consortium Member a non-transferable license to use a Member API Credential(s) to access the Member APIs, and read, deposit/edit and Use Record Data subject to the relevant Privacy Settings and these Terms and Conditions. All rights not expressly granted herein are reserved by ORCID. Nothing herein shall require any Consortium Member to exercise any of the Member Benefits.

**1.2 Consortium Members that are Service Providers.** As used herein, "Service Provider" means an organization that provides services or products to other organizations based on the use of its Member API Credential(s), other Member Benefits, or the creation or authentication of ORCID Identifiers. Service Providers must require their customers to make use of their own API credentials, whether in the form of a free Public API Credential or a paid Member API Credential. ORCID reserves the right to determine if an application requires a separate API Credential; therefore, Service Providers are encouraged to discuss their plans with ORCID in advance of implementation.

**1.3 Deposit/Edit Data.** Each Consortium Member shall have the ability to deposit and edit Record Data in existing ORCID Records where the relevant Individual has

granted the Consortium Member authority as a Trusted Organization, subject to the following:

(i) Each Consortium Member shall only deposit/edit the type and scope of Record Data for which it has consent from an Individual through the ORCID mechanism for granting consent to Trusted Organizations.

(ii) Each Consortium Member shall only deposit/edit Record Data that, to the best of its knowledge at the time of deposit/edit, is true and correct and is associated with the correct Individual and ORCID Identifier. If, after the time of deposit/edit, a Consortium Member becomes aware that any Record Data it deposited/edited is incorrect, the Consortium Member shall correct or inform the relevant Individual and ORCID. A Consortium Member is under no obligation to update Record Data other than to correct any Record Data that were not true and correct at the time of deposit/edit or inform ORCID and the relevant Individual that such Record Data is incorrect, and shall have no liability for ORCID's continued Use of uncorrected Record Data after the Consortium Member has corrected it or informed the relevant Individual and ORCID.

(iii) If a Consortium Member deposits/edits any links to articles, blogs, data sets or other works which may be subject to intellectual property protection, it shall only do so in a manner that does not to its knowledge violate the copyright or any other intellectual property rights of a third party.

(iv) Subject to these Terms and Conditions, each Consortium Member grants to ORCID a fully-paid, royalty-free, non-exclusive, worldwide, perpetual, irrevocable license for any and all rights necessary to allow ORCID and the public to Use such deposited/edited Record Data, subject to any Privacy Settings.

**1.4 Data Searching, Downloads and Alerts.** Each Consortium Member shall have access to the Member APIs to query the ORCID Registry and download Record Data, including Limited Access Data if granted the right by the relevant Individual or Trusted Individual. In addition, each Consortium Member shall have access to a mechanism for periodically synchronizing changes in the Public Data File.

**1.5 Technical Support.** ORCID will provide Consortium Lead and the Consortium Members with the technical support set forth in *the Roles and Responsibilities of ORCID Consortia* (available at <https://info.orcid.org/orcid-for-consortia/roles-and-responsibilities/>). Technical support must be coordinated through the Technical Contact set forth in the ORCID Consortium Lead Agreement and in the *Roles and Responsibilities* statement.

**1.6 Privacy Enforcement.** If a Consortium Member both mandates the use of ORCID identifiers and facilitates their collection using the ORCID APIs, the Consortium Member shall have the right, but not the obligation, to enforce on behalf of any Individual who it has mandated to use an ORCID Identifier ORCID's privacy commitments to the Individual as set forth in the ORCID Privacy Policy.

**1.7 Nominations for ORCID Board & Participation in Governance Matters.** Individuals representing each Consortium Member may exercise a Consortium Member's voting rights and be nominated to serve on the ORCID Board of Directors, consistent with ORCID's Bylaws which are available on the ORCID website. The person indicated by Consortium Lead as the Main Contact on the Consortium Member List for each Consortium Member shall be the person who represents the Consortium Member for purposes of notice, membership voting and otherwise exercising the Consortium Member's rights as a member under ORCID's Bylaws. (Consortium Member may change the name of the Main Contact through the Consortium Lead's support desk.)

**1.8 Limitations on Consortium Member's Use.** Each Consortium Member is prohibited from and agrees to the following restrictions:

- (i) Not to allow any other entity to use its Member API Credential(s) except to assist that Consortium Member on the Consortium Member's own behalf;
- (ii) Not to disclose to any other person or entity Limited Access Data unless (a) such data is publicly available from another source, or (b) the Consortium Member provides notice to the Individual how and to whom such data will be disclosed;
- (iii) Not to deposit/edit types of data other than those permitted by a specified field, and not to include any full works in such fields (in other words, only metadata and links to works may be deposited and not texts of articles, blogs, or publications and datasets);
- (iv) Not to deposit, edit, or modify any ORCID Record in a manner that knowingly makes it false, untrue, misleading or libelous in whole or in part, or knowingly make use of any ORCID Record in any manner that does any of the foregoing or violates the rights of publicity or privacy of any individual;

- (v) Not to Use any Record Data to contact any Individual for marketing purposes without giving the Individual the right to opt-out of such marketing communications;
- (vi) Not to use Record Data to send "junk mail," "spam," "chain letters," "pyramid schemes," or similar schemes;
- (vii) Not to use Record Data to harass, abuse or harm another person;
- (viii) Not to override, circumvent, or disable any encryption features or software protections employed to protect the security of the Member API Credential(s), the ORCID Registry or Record Data;
- (ix) Not to manipulate ORCID identifiers to disguise the origin of any Record Data; knowingly upload or post any Record Data that contains software viruses or any other computer code, files, or programs designed to interrupt, destroy, or limit the functionality of any software, hardware, or telecommunications equipment; or intentionally interfere with or disrupt ORCID servers or networks;
- (x) Not to use any or all of the Member API Credential(s) or its Member Benefits to create a service or product that allows organizations to obtain the benefits of those Member Benefits without being an ORCID Member; and
- (xi) Not to use any or all of the Member API Credential(s), the Member Benefits, the Member APIs, or the ORCID Registry in violation of these Terms and Conditions, or in any manner that is otherwise illegal in the United States of America ("U.S.") and the jurisdiction in which the Consortium Member is located, if other than the U.S.

**1.9 Limitations on ORCID's Use.** ORCID shall only use and make available Record Data via the ORCID Registry and the Public Data File as permitted by these Terms and Conditions, and to the extent that any Record Data is edited or removed as permitted by these Terms and Conditions, the viewable ORCID Registry and future Public Data Files shall reflect such changes.

## **2. Intellectual Property Ownership.**

**2.1 Ownership of ORCID Registry and Marks.** As between the parties, ORCID owns all rights, title, and interest, including without limitation, applicable database rights, in and to the ORCID Registry and the software developed by ORCID, the System Data, the Member APIs, the Member API Credential(s), and the ORCID Marks, as defined in Section 2.2 (collectively, the "ORCID Intellectual Property"); provided, however, ORCID Intellectual Property does not include any individual data elements in the ORCID Registry. ORCID makes its Registry software available under an open



source license whenever legally possible. (See [Open Source Project](#) on the ORCID website.)

**2.2 Use of ORCID Trademarks.** Consortium Member is encouraged to use ORCID's name, logo, and other trademarks (the "Marks") to announce its use of the ORCID Registry and status as a member of ORCID, provided that Consortium Member correctly identifies the Marks as a trademark under US and other laws if requested by ORCID. (See [ORCID Brand Guidelines](#) on the ORCID website.) Consortium Member may not use the Marks in any way likely to cause confusion as to the origin of goods or services or to suggest endorsement by ORCID, except as specifically approved by ORCID in writing.

### **3. Delivery and General Support.**

**3.1 Unavailability of the ORCID Registry.** ORCID shall use commercially reasonable efforts to provide continuous availability of the ORCID Registry and the Member Benefits. However, Consortium Lead and each Consortium Member recognize that the ORCID Registry and the Member Benefits may not be available from time to time due to maintenance of the server(s), the installation or testing of software, and downtime relating to equipment or services outside the control of ORCID including, but not limited to, telecommunications services or Internet nodes or facilities; provided, however, that ORCID shall give Consortium Lead reasonable advance notice of any downtime within ORCID's reasonable control.

**3.2 Premium Members.** In addition to the other commitments set forth in these Terms and Conditions, ORCID shall provide Consortium Member a call-back API, higher allowed API requests per day, and custom reports and data files. Premium benefits also include the ability for each Consortium Member to be issued up to five (5) Member API Credentials for use in different system integrations at the same organization.

### **4. Privacy, Security and Usage Data.**

**4.1 Data Protection.** ORCID shall use commercially reasonable efforts to protect the security and integrity of the ORCID Registry (including, without limitation, Member API Credentials, passwords, usernames, and IP addresses) and Record Data, as set forth in its Privacy Policy, including storing information in a data center with restricted access and monitoring, using secure socket and intrusion detection software, and hashing for passwords. ORCID shall promptly notify Consortium Lead upon learning of any material security breach related to a Consortium Member's API Credentials or upon ORCID's determination that there has been a material breach of the security of the ORCID Registry generally.

**4.2 Protection of the Member API Credentials, the ORCID Registry and ORCID Record Data.** Each

Consortium Member agrees to use its Member API Credential(s) only as set forth in these Terms and Conditions and shall take reasonable efforts to protect its Member API Credential(s) from any security breaches or other use that is in violation of these Terms and Conditions or applicable law. Consortium Lead shall be liable for its intentional misconduct or negligent use of the Member API Credentials, except in the event that it establishes that a security violation is due to ORCID's fault. Each Consortium Member shall be liable for its intentional misconduct or negligent use of its Member API Credential(s), except in the event that it establishes that a security violation of its Member API Credential(s) is due to ORCID's fault. Consortium Lead and each Consortium Member shall notify ORCID promptly upon (i) learning of any violation or alleged violation of these Membership Terms and Conditions or security of a Member API Credential or the ORCID Registry or (ii) becoming aware that any Record Data the Consortium Member has deposited/edited violates or may violate the rights of privacy, publicity or other rights of an Individual. Furthermore, Consortium Lead and each Consortium Member shall cooperate fully with ORCID in investigating and curing violations or alleged violations, including, without limitation, assisting ORCID in providing Individuals with any required notices. Additionally, ORCID may (without limiting any other remedies ORCID may have) impose temporary restrictions on use of Member API Credentials and access to the ORCID Registry until the violation is cured.

**4.3 Monitoring and Gathering Usage Data.** To protect the security of Member API Credentials and the ORCID Registry against unauthorized uses and to learn about the uses made of the ORCID Registry and the Member APIs, ORCID may monitor activity and use of Member API Credentials and the Member APIs.

**5. Disputed Data; Withdrawal of Data from the ORCID Registry.** ORCID shall assist in resolving disputes between users (including, without limitation, between Individuals, Consortium Members, and other members) of the ORCID Registry regarding ORCID Identifier ownership claims, data accuracy and integrity, and Individual identity, in accordance with policies and procedures set forth in the [ORCID Dispute Procedures](#), which is incorporated herein by reference. Notwithstanding the foregoing, ORCID makes no representation or warranty about, and shall not be responsible for the accuracy of data deposited in the ORCID Registry. ORCID reserves the right to remove from the ORCID Registry and its servers any Record Data or disable access to parts of the ORCID Registry as it deems necessary.

**6. General Representations and Warranties, Disclaimers, Limitation of Liability.** Each of ORCID and Consortium Lead represents and warrants that it has the authority to enter into the Consortium Lead Agreement and these Terms and Conditions, and to bind its organization to the terms and conditions of the Consortium Lead Agreement, including without limitation these Terms and Conditions. Each of ORCID and Consortium Lead further represents and warrants that it has caused the Consortium Lead Agreement to be executed by a duly authorized representative. Consortium Lead further represents and warrants that it has the authority to act on behalf of and legally represent and bind each Consortium Member listed on the Consortium Member List to these Terms and Conditions, and that, by executing the Consortium Lead Agreement, it has done so. Except as set forth herein, ORCID makes no representations or warranties regarding (a) the accuracy of Record Data; (b) misuse of Record Data by third parties; (c) freedom from defamation or infringement of rights of privacy through use of the Record Data; (d) lack of viruses, bugs or other impairments to computer systems and software; and (e) links to other websites and content found therein.

**6.1 Disclaimer.** OTHER THAN THE EXPRESS REPRESENTATIONS AND WARRANTIES STATED IN THESE TERMS AND CONDITIONS, THE ORCID REGISTRY, RECORD DATA, THE PUBLIC DATA FILE, THE MEMBER API CREDENTIAL(S), THE MEMBER APIs, AND/OR THE MEMBER BENEFITS (individually and collectively, the "ORCID SYSTEM") ARE PROVIDED ON AN "AS IS" BASIS, AND ORCID AND ITS DIRECTORS, OFFICERS, EMPLOYEES, AGENTS, CONTRACTORS, AND REPRESENTATIVES (individually and collectively, the "ORCID PARTIES") DISCLAIM TO THE FULLEST EXTENT PERMITTED BY LAW ANY AND ALL OTHER REPRESENTATIONS AND WARRANTIES OF ANY KIND (EXPRESS, IMPLIED, ORAL, OR WRITTEN) RELATING TO THE ORCID SYSTEM, INCLUDING, WITHOUT LIMITATION, ANY AND ALL IMPLIED WARRANTIES OF QUALITY, PERFORMANCE, COMPATIBILITY, MERCHANTABILITY, AND/OR FITNESS FOR A PARTICULAR PURPOSE.

**6.2 Limitation of Liability.** TO THE FULLEST EXTENT PERMITTED BY LAW, THE AGGREGATE LIABILITY OF EACH PARTY (INCLUDING ITS TRUSTEES, DIRECTORS, OFFICERS, EMPLOYEES, AGENTS, CONTRACTORS AND REPRESENTATIVES) IN CONNECTION WITH THE CONSORTIUM LEAD AGREEMENT AND THESE TERMS AND CONDITIONS SHALL NOT EXCEED TWO TIMES THE FEES DUE TO ORCID UNDER THE CONSORTIUM LEAD AGREEMENT DURING THE INITIAL TERM OR THEN-CURRENT RENEWAL TERM. IN NO EVENT SHALL THE PARTIES (INCLUDING THEIR TRUSTEES, DIRECTORS, OFFICERS, EMPLOYEES, AGENTS,

CONTRACTORS AND REPRESENTATIVES) BE LIABLE FOR ANY INDIRECT, SPECIAL, CONSEQUENTIAL OR PUNITIVE DAMAGES. FOR THE AVOIDANCE OF DOUBT, ANY PAYMENTS FROM Consortium Lead OR A CONSORTIUM MEMBER TO ORCID IN CONNECTION WITH A CLAIM BY A THIRD PARTY AGAINST ORCID SHALL NOT BE DEEMED TO BE AN INDIRECT OR CONSEQUENTIAL DAMAGE FOR PURPOSES OF THE PRECEDING SENTENCE.

**6.3 Consortium Liability.** (i) *Consortium Lead* shall ensure that each Consortium Member is fully aware of the terms and conditions of these Terms and Conditions and shall take reasonable steps to ensure that each Consortium Member uses the ORCID Registry and exercises the Member Benefits in compliance with these Terms and Conditions. Consortium Lead shall be liable for its own acts, omissions and breaches under the Consortium Agreement, including, without limitation, its obligations to pay the fees under the Consortium Lead Agreement, its obligations under this Section 6.3(i), and its representation and warranty that it has the authority to enter into these Terms and Conditions on behalf of each Consortium Member. (ii) Each *Consortium Member* shall be liable for its own acts, omissions and breaches under these Terms and Conditions.

## **7. Term and Termination.**

**7.1 Term/Renewal Term.** These Terms and Conditions shall continue in effect for the period set forth in the Consortium Lead Agreement. Prior to the end of the the Initial Term or any Renewal Term, ORCID shall provide a renewal notice to Consortium Lead setting forth the expiration date for the then-current term, the dates of the Renewal Term, the relevant fees for the Renewal Term, the due date for such fees, and the list of Consortium Members for the Renewal Term. **Consortium Lead shall have 30 days from the date of such renewal notice to (i) provide a counter-notice to ORCID if it intends not to renew Consortium Agreement or (ii) provide ORCID with an updated list of Consortium members for renewal.** Each Renewal Term shall be term set forth in the renewal notice and shall commence the first day following the end the Initial Term or a Renewal Term (unless otherwise set forth in a renewal notice from ORCID). **A renewal shall not require signature of the parties, and shall be deemed to have occurred if Consortium Lead does not provide a counter-notice of termination within such 30-day period.** Fees and membership will be based on the Consortium Composition set forth in the renewal notice unless Consortium Lead timely provides an updated list of Consortium Members. Failure to make timely payment in absence of a waiver from ORCID shall result in an automatic termination of the Consortium Agreement upon notice from ORCID.



**7.2 Termination without Cause.** ORCID shall have the right to terminate the Consortium Agreement at the end of the Initial Term or any Renewal Term by providing at least 60 days' notice prior to the end of the then-current term. Consortium Lead may terminate the Consortium Agreement by providing ORCID with a counter notice in response to the renewal notice as described in Section 7.1.

**7.3 Termination for Breach.** (i) *Termination for cause by Consortium Lead.* In the event that Consortium Lead believes ORCID has materially breached any obligations, representations, or warranties under the Consortium Agreement, it shall so notify ORCID in writing. ORCID shall have 10 days from the receipt of such notice to cure the alleged breach and to notify (in writing) Consortium Lead that such cure has been effected. If the breach is not cured within the 10-day period, Consortium Lead shall have the right to terminate the Consortium Agreement immediately upon written notice. (ii) *Termination for cause by ORCID.* In the event that ORCID believes that Consortium Lead or a Consortium Member has materially breached any obligations, representations, or warranties under these Terms and Conditions (or the Consortium Lead Agreement in the case of Consortium Lead), it shall so notify Consortium Lead in writing. Consortium Lead/Consortium Member shall have 10 days from the receipt of such notice to cure the alleged breach and to notify (in writing) ORCID that such cure has been effected. If the breach is not cured within the 10-day period, ORCID shall have the right to terminate these Terms and Conditions with respect to the Consortium Member immediately upon written notice; provided, however, that if the breaching party is Consortium Lead, ORCID shall have the right to terminate the Consortium Agreement with respect to both Consortium Lead and each Consortium Member. Nothing in this Section 7.3 shall limit ORCID's rights under Section 4.2.

**7.4 Effect of Termination.** Upon the termination or expiration of these ORCID Terms and Conditions (and/or the Consortium Lead Agreement with respect to Consortium Lead), whichever occurs first, access to the Member API Credentials and Member Benefits for each Consortium Member shall be immediately terminated. (a) *Termination of Specific Member.* If the expiration/termination applies only to a specific Consortium Member (rather than Consortium Lead generally), such Consortium Member shall be removed from Exhibit 1 of the Consortium Lead Agreement, and only such Consortium Member's access to the Member API Credentials and the Member Benefits shall terminate. To the extent that a Consortium Member has deposited/edited any Record Data, such Record Data will remain in the ORCID Registry unless removed by an Individual or designated Trusted Individual(s) and

Trusted Organizations before termination, or by ORCID pursuant to Article 5. Notwithstanding the foregoing, each Consortium Member shall have the ability to correct any information it discovers is incorrect after termination by informing ORCID, and ORCID shall seek to promptly correct the inaccuracy with the Individual and/or through the ORCID Dispute Procedures. In the event of termination or expiration of a specific Consortium Member, these Terms and Conditions shall not automatically terminate with respect to Consortium Lead or the other Consortium Members. (b) *Termination of Consortium Lead.* If the expiration/ termination applies to Consortium Lead, ORCID shall have the option of terminating each Consortium Member's rights hereunder as well, in which case it will allow each non-breaching Consortium Member to enter into a separate membership agreement directly with ORCID at the individual member rate.

**7.5 Survival.** The provisions of Section 1.3(ii) (correction of Record Data), Section 1.3(iv) (License to ORCID), Section 1.8 (ii) (use of Limited Access Data), Section 1.9 (Limitations on ORCID's Use), Section 2.1 (Ownership of ORCID Registry and Marks), the last sentence of Section 2.2 (use of ORCID Trademark), Article 5 (Dispute Procedures), Article 6 (General Representations and Warranties, Disclaimers, and Limitation of Liability), this Article 7 (Term and Termination), Article 8 (Miscellaneous) and Article 9 (Definitions) shall survive any termination or expiration of Consortium Agreement, including without limitations, these Terms and Conditions and continue in effect.

## **8. Miscellaneous.**

**8.1 Entirety of the Agreement.** The Consortium Agreement, including these Terms and Conditions, constitutes the entire agreement between Consortium Lead and ORCID and shall supersede any prior written or oral understandings with respect to its subject matter. These Terms and Conditions constitute the entire agreement between ORCID and each Consortium Member and shall supersede any prior oral or written understandings with respect to its subject matter. If the parties have entered into Standard Contractual Clauses related to the transfer and processing of personal data, such clauses shall be an integral part of the agreement as well.

**8.2 Agreement Modifications.** In order to account for the evolution of ORCID and its sustainability and to operate in compliance with the laws in multiple jurisdictions, ORCID reserves the right to modify these Terms and Conditions, provided that (i) no such modification will be retroactive; and (ii) ORCID will provide Consortium Lead with 60 days' advance written notice of any such modifications. Continued acceptance of these Terms and Conditions is a condition of the

Member Benefits and the licenses granted hereunder. If Consortium Lead objects to any such modifications, Consortium Lead may terminate the Consortium Agreement (effective as of the effective date of such modifications) by providing written notice to ORCID prior to the effective date, and ORCID will provide Consortium Lead with a pro-rata refund. Except as set forth in this Section 8.2 or referenced elsewhere in these Terms and Conditions (e.g., increases in the Member Benefits, update of contact information) and the policies incorporated herein (e.g., the Privacy Policy, the ORCID Dispute Procedures), all amendments to the Consortium Agreement or any part thereof must be made in writing and signed by ORCID and Consortium Lead.

**8.3 Notices.** Any notice required to be given by ORCID under the Consortium Agreement or any part thereof shall be given only to Consortium Lead's Main Contact. It is Consortium Lead's responsibility to keep its contact information up to date and to timely forward any such notice to each Consortium Member. All notices given (by or to ORCID) pursuant to the Consortium Agreement or ORCID's bylaws shall be in writing in English and sent as follows: (i) by internationally recognized courier (e.g., FedEx, UPS), or (ii) by electronic mail. Notice shall be deemed given and received on the next business day following the scheduled delivery date for courier and the next business day following the date sent for electronic mail. Either ORCID or Consortium Lead may from time to time change the name and contact information on the Consortium Lead Agreement and on the Consortium Member List by providing notice to the other party in accordance with this Section.

**8.4 Disputes/Governing Law.** The Consortium Agreement, or any part thereof, shall be interpreted under and governed by the laws of the State of New York, United States, excluding any laws that might direct the application of the laws of another jurisdiction. The application of the United Nations Convention on Contracts for the International Sale of Goods is expressly excluded, if applicable. Except as set forth in the ORCID Dispute Procedures (which shall govern the disputes described therein), if the parties cannot resolve any disputes arising out of or relating to any aspect of the Consortium Agreement in an amicable manner, they shall do so through a desk arbitration administered by the American Arbitration Association in the case of domestic disputes and the International Centre for Dispute Resolution of the American Arbitration Association in the case of international disputes (either, the "AAA") governed by its applicable rules, as modified by the following: (i) regardless of the amount in controversy, the matter shall be determined by one arbitrator familiar with the information technology sector based upon written submissions in English and one or more telephonic hearings

in English (as determined by the arbitrator); (ii) the parties shall submit documents pertaining to the arbitration consistent with AAA rules and as directed by the arbitrator; and (iii) the arbitrator shall render a final binding decision 14 days after the arbitrator declares the hearing closed. A judgment on the award rendered by the arbitrator may be entered in any court having competent jurisdiction thereof. Notwithstanding the foregoing, any party may apply to the arbitrator seeking injunctive relief until the arbitration award is rendered or may, without waiving any remedy under any aspect of the Consortium Agreement, seek from any court located in New York, New York, United States (and ORCID, Consortium Lead and each Consortium Member consents to such jurisdiction) injunctive or any other type of equitable relief that is necessary to protect the rights or property of that party, pending arbitration or final determination of the merits of the controversy via arbitration. Consortium Lead and Consortium Members acknowledge that unauthorized use of the Member API Credentials, the ORCID Registry, and/or security breaches might cause ORCID irreparable harm.

**8.5 Limitation on Assignment.** Except as expressly set forth herein, no party may assign, subcontract or sublicense (collectively, "transfer") its rights and obligations under any aspect of the Consortium Agreement in whole or in part, without the prior written consent of Consortium Lead (for Consortium Lead or Consortium Member) or ORCID, as relevant, which shall not be unreasonably withheld, and any transfer to the contrary shall be null and void; provided, however, that a transfer by ORCID (including, without limitation, transfer by ORCID of the ORCID Registry) in the event of a merger, other corporate restructuring or dissolution, shall be permissible without prior consent, provided that (a) the transferee agrees to be bound by the terms of and conditions of the Consortium Agreement, (b) notice is provided to Consortium Lead (for Consortium Lead or Consortium Member), and (c) such assignee is a nonprofit entity capable of fulfilling ORCID's obligations hereunder and is consistent with ORCID's obligations under its Privacy Policy.

**8.6 No Agency, Joint Venture or Partnership.** Nothing contained in any aspect of the Consortium Agreement shall be deemed to create an agency, joint venture, or partnership. (Notwithstanding anything to the contrary herein, these Terms and Conditions are not intended to impact any pre-existing such relationship between Consortium Lead and Consortium Member.)

**8.7 No Third Party Beneficiaries.** The Consortium Agreement has been entered into for the sole benefit of ORCID, Consortium Lead and the Consortium Members and is not intended for the benefit of any third party, regardless of any laws to the contrary.

**8.8 Waiver or Invalidity of any Provision.** Waiver of any provision of the Consortium Agreement shall not be

deemed to be a waiver of any other provision thereof, nor shall waiver of any breach of any aspect of the Consortium Agreement be construed as a continuing waiver of other breaches of the same or other provisions of thereof If any provision(s) of the Consortium Agreement is held to be invalid, illegal, unenforceable, or in conflict with the law of any jurisdiction, the validity, legality, and enforceability of the remaining provisions shall not in any way be affected or impaired.

**8.9 Force Majeure.** No party shall be in breach of any aspect of the Consortium Agreement to the extent a failure to perform an obligation hereunder results from a condition(s) that is beyond such party's reasonable control, including, but not limited to, strikes, labor disputes, terrorist acts, or governmental requirements.

**8.10 Ethical Behavior.** Each party represents and warrants that it has not and shall not, nor to its knowledge has or will any person acting on its behalf, act in violation of applicable anti-bribery and corruption laws in effect in its jurisdiction(s) of operation or its own internal policies related to such matters. Notwithstanding any other provision of these Terms and Conditions (or the Consortium Lead Agreement), any breach by a party of this Section 8.10 may be regarded by the other party as incapable of remedy and permitting the non-breaching party, without prejudice to its other rights and remedies, to terminate these Terms and Conditions (or the Consortium Lead Agreement with respect to Consortium Lead and ORCID) immediately upon notice.

**8.11 Drafting.** The Consortium Agreement shall not be construed or interpreted against ORCID as the drafter.

## 9. Definitions

**API or ORCID API:** See Member APIs below.

**Consortium Effective Date** means the effective date set forth in the Consortium Lead Agreement.

**Consortium Agreement** means, (i) the document titled ORCID Consortium Lead Agreement, (ii) its Exhibit 1 (ii) these Terms and Conditions, and (iv) the ORCID Member Benefits, the ORCID Privacy Policy and the ORCID Dispute Procedures which are incorporated by reference in these Terms and Conditions.

**Consortium Composition** means the number of Consortium Members and the fee tier of each member.

**Consortium Lead Agreement** means the agreement executed by the Consortium Lead and ORCID effective as of the Consortium Effective Date.

**Consortium Member List** means the list in the ORCID online service tool of current Consortium Members as updated by Consortium Lead from time to time and accepted by ORCID.

**Effective Date** means for each Consortium Member, the date on which Consortium Lead adds Consortium Member to the Consortium Member List (with the acceptance of

ORCID). For Consortium Lead, the Effective Date means the Consortium Effective Date (as defined in the Consortium Lead Agreement).

**Individual** means a person about whom an ORCID Record exists or is being created.

**Initial Term** means the duration of these Terms and Conditions as set forth in the Consortium Lead Agreement.

**Limited Access Data** means Record Data that is viewable through the ORCID Registry only by the Individual, a Trusted Individual or specified Trusted Organizations.

**Member** means any organization that has entered into these Terms and Conditions with ORCID relating to use of the ORCID Registry, Member API Credential(s), and the Member APIs, or otherwise meets conditions established by ORCID.

**Member APIs** means APIs which interact with the ORCID Registry through Member API Credential(s).

**Member API Credential(s)** means a unique passcode provided by ORCID to each Consortium Member to use the Member APIs.

**Member Benefits** means the use of the aspects of the ORCID Registry only available to ORCID members as described in Article 1 of these Terms and Conditions and on the ORCID website as amended by ORCID from time to time.

**ORCID Identifier** means the globally unique identifier assigned by ORCID to an Individual.

**ORCID Dispute Procedures** means the procedures ORCID uses to assist Members and other users of the ORCID Registry to resolve disputes about the accuracy of Record Data, posted on the ORCID website at <https://info.orcid.org/dispute-procedures/>, as amended from time to time by ORCID.

**ORCID Record** means the information about an Individual in the ORCID Registry other than System Data.

**ORCID Registry** means the ORCID database that contains all Record Data.

**Privacy Policy** means those privacy practices and commitments made by ORCID and that are posted by ORCID under the title "Privacy Policy" on the ORCID website at <https://info.orcid.org/privacy-policy/>, as amended from time to time by ORCID.

**Privacy Settings** means selections indicating whether specific data within a Record shall be *Private Data*, *Limited Access Data*, or *Public Data*. (For additional information about Privacy Settings, please see the Privacy Policy.)

**Public API Credential** means a unique passcode to access the free ORCID Public API, which allows individuals that are not affiliated with ORCID Members to connect their systems and applications to the ORCID Registry with machine-to-machine communication and read public data.

**Public Data File** means a downloadable file of all Public Data in the ORCID Registry on a given date from Records created or claimed by an Individual.



## ORCID Consortium Agreement

Record Data means the individual data elements in a Record, including the ORCID Identifier and metadata associated with linked research objects. A link, but not the linked object itself (e.g., text or full metadata), is considered part of the Record Data.

Renewal Term means each successive term following the Initial Term.

System Data means data associated with a Record that is not part of the visible ORCID Registry, such as a password.

Terms and Conditions means these ORCID Membership Terms and Conditions.

Trusted Individual means a person to whom an Individual has given the authority to manage an ORCID record on his or her behalf, including setting privacy settings, editing and depositing data and naming Trusted Organizations.

Trusted Organization means a Member that has been granted rights by an Individual (or his/her Trusted Individual(s)) to deposit and edit Record Data and/or read Record Data that has been marked "Limited Access".

Use means use, store, sublicense, reproduce, modify, transmit, distribute, publicly perform and publicly display, including for commercial use.