Introduction to Open Science

6th International PhD Summer School

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Overview

- What is Open Science?
- Why is it important?
- How to practice it?
- Discussion on Open Science

Science

Science (from the Latin word scientia, meaning "***knowledge***") is one of the most **important** channels of knowledge, which help us to understand the world around us.
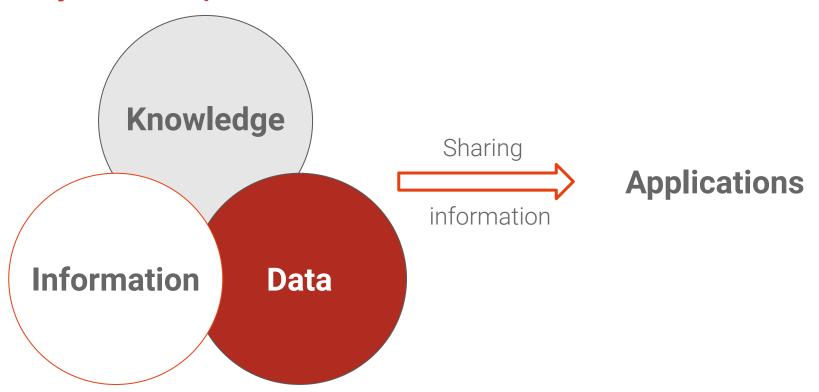
It has a specific role, as well as a variety of functions

for the benefit of our society:

- creating new knowledge
- improving education
- increasing the quality of our lives

Why do we publish?

Knowledge

Information

Data

Sharing

information

Applications

Four major scientific "crisis"

1) Access: most research is paywalled to most people

- 75% of all scientific production in history must be paid

2) Increasing prices

- In the last 30 years, the subscription fees to scientific journals increased 300 times the inflation rate
- In 2016, 7.6 billion € have been spent globally to pay articles already paid by public funding
- Scientific publishers' net profit is >35% per year (for Google is 25%)
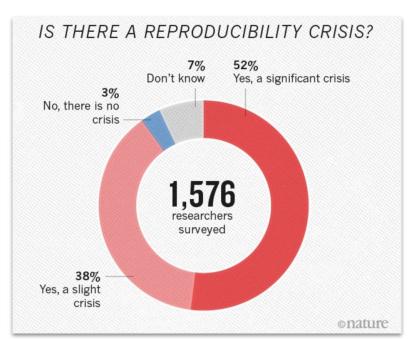
https://paywallthemovie.com/

Four major scientific "crisis"

3) Evaluation: driven by metrics controlled by publishers

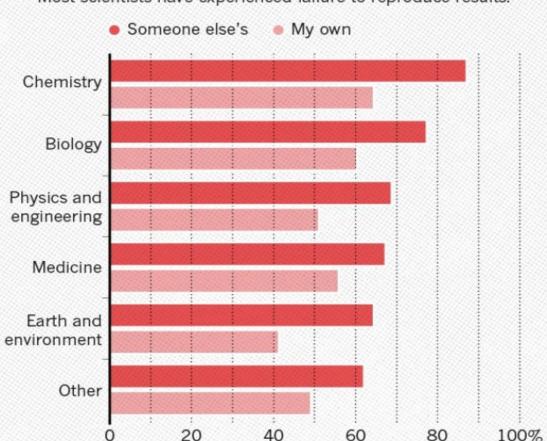## 4) Reproducibility crisis

- **50% of research is not reproducible**!!

Open access, freely available online

Essay

Why Most Published Research Findings Are False

John P. A. Ioannidis

Summary

There is increasing concern that most current published research findings are false. The probability that a research claim is true may depend on study power and bias, the number of other studies on the same question, and, importantly, the ratio of true to no relationships among the

factors that influence this problem and some corollaries thereof.

Modeling the Framework for False Positive Findings

Several methodologists have pointed out [9–11] that the high rate of nonreplication (lack of confirmation) of research discoveries

is characteristic of the field and can vary a lot depending on whether the field targets highly likely relationships or searches for only one or a few true relationships among thousands and millions of hypotheses that may be postulated. Let us also consider, for computational simplicity, circumscribed fields where either there

John P. A. Ioannidis (Plos Med, 2005)

IS THERE A REPRODUCIBILITY CRISIS?

7% Don't know
52% Yes, a significant crisis
3% No, there is no crisis

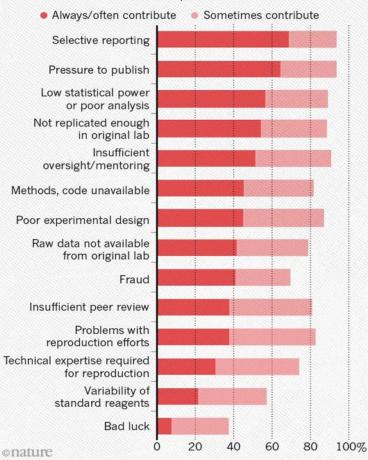1,576 researchers surveyed

38% Yes, a slight crisis

©nature

Monya Baker. (Nature, 2016)

HAVE YOU FAILED TO REPRODUCE AN EXPERIMENT?

Most scientists have experienced failure to reproduce results.

- Someone else's
- My own

Chemistry

Biology

Physics and engineering

Medicine

Earth and environment

Other

0   20   40   60   80   100%

WHAT FACTORS CONTRIBUTE TO IRREPRODUCIBLE RESEARCH?

Many top-rated factors relate to intense competition and time pressure.

- Always/often contribute
- Sometimes contribute

Selective reporting

Pressure to publish

Low statistical power or poor analysis

Not replicated enough in original lab

Insufficient oversight/mentoring

Methods, code unavailable

Poor experimental design

Raw data not available from original lab

Fraud

Insufficient peer review

Problems with reproduction efforts

Technical expertise required for reproduction

Variability of standard reagents

Bad luck

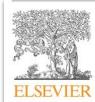0   20   40   60   80   100%

©nature

Other adaptive behaviors

Increase of **self-citations**

- +179 % in 12 years in Italy

other types of manipulation of indicators

- abuse of co-authorship
- fake peer-reviews

Contents lists available at ScienceDirect

Research Policy

journal homepage: www.elsevier.com/locate/respol

ELSEVIER

Self-citations as strategic response to the use of metrics for career decisions

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[a] Department of Sociology, Ghent University, Korte Meer 3, 9000 Ghent, Belgium
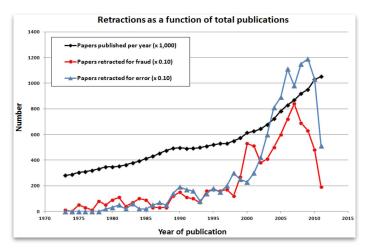[b] Department of Engineering, University of Bergamo, Via Pasubio 7b, 24044 Dalmine, BG, Italy

Marco Seebera et al. (Elsevier, 2019)

Other adaptive behaviors
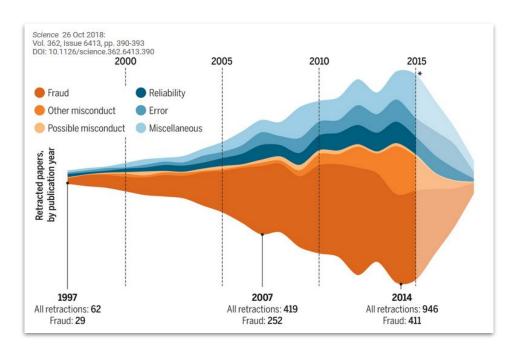
Increase in **retract rate** ⇨ Particularly in **highly ranked journals**

- errors
- misconduct
- fraud

R. Grant Steen et al. (Plos, 2013)

Jeffrey Brainard: Rethinking retractions (Science, 2018)

Science in the time of COVID-19

OECD

TACKLING CORONAVIRUS (COVID-19)
CONTRIBUTING TO A GLOBAL EFFORT

OECD Policy Responses to Coronavirus (COVID-19)
Why open science is critical to combatting COVID-19

Updated 12 May 2020

Disclaimer

Quick search

Key messages

Achievements of open science

OPEN

Key messages

- In global emergencies like the coronavirus (COVID-19) pandemic, open science policies can remove obstacles to the free flow of research data and ideas, and thus accelerate the pace of research critical to combating the disease.

Source: OECD

Science in the time of COVID-19

European Commission

July 2020

MANIFESTO FOR EU COVID-19 RESEARCH

MAXIMISING THE ACCESSIBILITY OF RESEARCH RESULTS IN THE FIGHT AGAINST COVID-19

- Considering the unprecedented, extraordinary challenges posed by the outbreak of the COVID-19 pandemic;

- Encouraged by the broad public recognition of the important role of research and innovation in containing the COVID-19 pandemic;

- Recalling the World Health Assembly resolution on COVID-19[1] and EU Strategy for COVID-19 vaccines[2] supporting the voluntary pooling and licensing of intellectual property related to COVID-19 therapeutics and vaccines, to promote equitable global access as well as a fair return on investments;

- Fully aware of our responsibility as researchers and innovators to find innovative solutions;

- Bearing in mind the urgent need to valorise research results for the benefit of all;

[1] https://apps.who.int/gb/ebwha/pdf_files/WHA73/A73_R1-en.pdf
[2] https://ec.europa.eu/info/sites/info/files/communication-eu-strategy-vaccines-covid19_en.pdf

Research and Innovation

By endorsing the manifesto you commit to
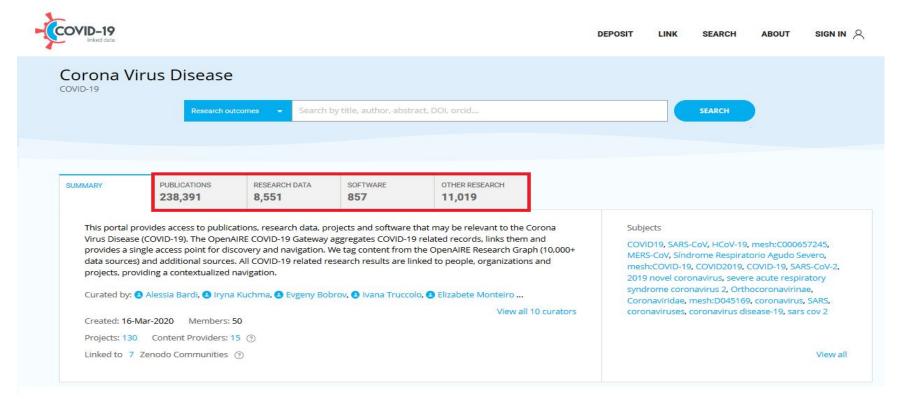
Make the generated results, whether tangible or intangible, public and accessible without delay, for instance on the Horizon Results Platform, on an existing IP sharing platform, or through an existing patent pool.

Make scientific papers and research data available in open access without delay and following the FAIR principles [↗] via preprint servers or public repositories, with rights for others to build upon the publications and data and with access to the tools needed for their validation. In particular, make COVID-19 research data available through the European COVID-19 Data Platform [↗]

Where possible, grant for a limited time, non-exclusive royalty free licences on the intellectual property resulting from EU-funded research. These non-exclusive royalty free licenses shall be given in exchange for the licensees' commitment to rapidly and broadly distribute the resulting products and services under fair and reasonable conditions to prevent, diagnose, treat and contain COVID-19.

Manifesto for EU COVID-19 Research (EC, July 2020)

Science in the time of COVID-19

OpenAIRE portal: Corona Virus Disease (COVID-19)

Science in the time of COVID-19

COVID-19 Data Portal

About ▼   Partners   Related resources   Bulk downloads   Submit data

Viral Sequences   Host Sequences   Expression   Proteins   Biochemistry   Imaging   Literature

Accelerating research through data sharing
Read and sign our letter in support of open COVID-19 data >

Viral sequences ➔
Raw and assembled sequence and analysis of SARS-CoV-2 and other coronaviruses.

391,141 records >

Host sequences ➔
Raw and assembled sequence and analysis of human and other hosts.

11,654 records >

Our letter in support of open COVID-19 data

We need to support the submission of open data, especially SARS-CoV-2

Expression ➔
Gene and protein expression data of human genes implicated in the virus

Proteins ➔
Curated functional and classification data on the SARS-CoV-2 protein entries and

[The European COVID-19 Data Platform](#)

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What about the other global challenges?

1 NO POVERTY	2 ZERO HUNGER	3 GOOD HEALTH AND WELL-BEING	4 QUALITY EDUCATION	5 GENDER EQUALITY	6 CLEAN WATER AND SANITATION
7 AFFORDABLE AND CLEAN ENERGY	8 DECENT WORK AND ECONOMIC GROWTH	9 INDUSTRY, INNOVATION AND INFRASTRUCTURE	10 REDUCED INEQUALITIES	11 SUSTAINABLE CITIES AND COMMUNITIES	12 RESPONSIBLE CONSUMPTION AND PRODUCTION
13 CLIMATE ACTION	14 LIFE BELOW WATER	15 LIFE ON LAND	16 PEACE, JUSTICE AND STRONG INSTITUTIONS	17 PARTNERSHIPS FOR THE GOALS	SUSTAINABLE DEVELOPMENT GOALS

Access to scientific information is considered important to help solving these problems

Sustainable Development Goals for 2015-2030 (United Nations)

A strategic vision for Europe

European Commission (2016)

Open Innovation

- Involve more stakeholders in the innovation process

Open Science

- Facilitate more open research via digital tools

Open to the World

- Support more societal impact & collaboration both in and outside Europe

Key drivers of Open Science

- Availability of digital technologies allowing e.g. for data-intensive research

- Increase of the global scientific population

- Public demand for better and more efficient science

- Demand for accountable, responsive and transparent science

- Need to address faster societal challenges

- Need to contribute to economic growth

Melanie Imming, & Jon Tennant. (2018, June 8). Sticker open science: just science done right

What is Open Science?

Some definitions

Open Science represents a new approach to the scientific **process** based on **cooperative work** and new ways of diffusing knowledge by using digital technologies and new **collaborative tools**.

Open Science is the effort to make the primary outputs of publicly funded research results - **publications and the research data** – publicly accessible in digital format with no or minimal restriction, to the scientific community, the business sector, or society more generally, to enhance transparency and collaboration, and fostering innovation.
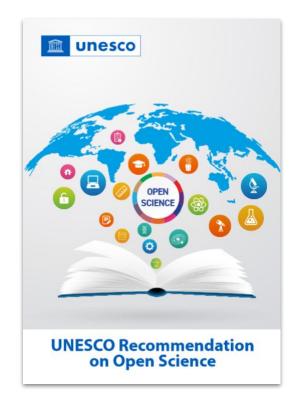
UNESCO Definition of Open Science

Open Science is an inclusive construct that **combines various movements** and **practices** aiming:

- **to make** multilingual **scientific knowledge** openly available, **accessible** and **reusable** for everyone;

- to **increase** scientific **collaborations** and **sharing of information** for the benefits of science and society;

- and to **open the processes** of scientific knowledge creation, evaluation, and communication to societal actors beyond the traditional scientific community.

UNESCO Recommendation on Open Science

UNESCO, Nov 2021

Open Science: an umbrella term

Open Notebooks

Open Data

Open Peer Review

Open Access

Open Source

Open Licencing

Citizen Science

Open Educational Resources

Benefits - Why do Open Science?

- opens access to research
- increases discoverability
- increases (social) impact
- facilitates reproducibility
- shares & saves resources
- speeds & helps innovation

SGDs 2015 -2030 (United Nations)

Access to research is considered important to help solving global challenges

Open Science practices

OPEN ACCESS

Open Data

open source

OPEN PEER REVIEW

AJ Cann [CC BY-SA]

OPEN LICENCING

Citizen Science
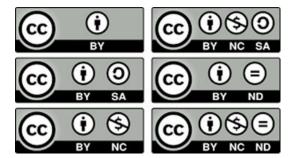
FOSTER Taxonomy of Open Science | Masuzzo & Martens (2017)
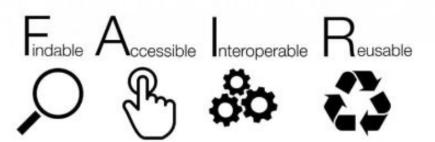
Closed versus Open Access

Traditional model	Open Access
● **closed access** only for institutions (library/consortium) which pay **subscriptions to journals** ● paid access for all others	● **free access for all to read** ● AAM version in repository (🔓) ● VoR version in the journal (🔓)
PƏYWALL The Business of Scholarship	**OPEN ACCESS**

Open versus FAIR Data

NTK

FAIR principles

- **Findable** - rich metadata, keywords, persistent identifiers
- **Accessible** - data in trusted repositories
- **Interoperable** - standards
- **Re-usable** - licenses

Go FAIR | Hansen & al (2018)

FAIR Data − open by default

FAIR data: "As open as possible, as closed as necessary"

OPEN

RESTRICTED/CONTROLLED

CLOSED

Freely used, modified & shared by anyone for any purpose

Limits on who can access & use data, how, or for what purpose
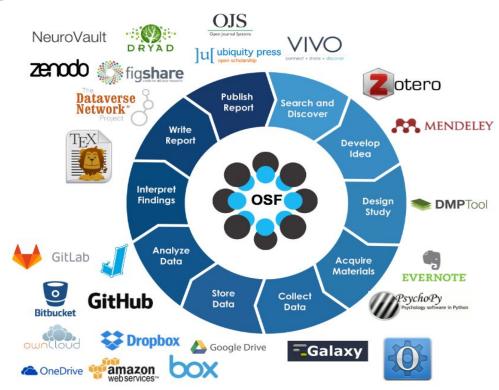
Under embargo, unable to share

Opening up your data does not mean you are losing control!

Research Data Management

Research data workflow

- collect data
- curate data
- analyse data
- report data
- archive data

> **Data Management Plan!**

Variety of tools | Open Science Framework

European Open Science Cloud (EOSC)

EOSC - a virtual research environment for all researchers

https://eosc-portal.eu/

- Researchers at the core
- Store/share own data
- Find/re-use other data
- Combine different data
- Deploy AI tools on data
- Go beyond current science
- Engage in developing EOSC

Open Peer Review

AJ Cann [CC BY-SA]

[Tennant (2018)](#) | [Ross-Hellauer (2017)](#)

Standard Peer Review
- authors submit to editors
- authors/reviewers 'blind'
- versions/reviews closed

Open Peer Review
- authors submit to platform
- authors/reviewers public
- versions/reviews public

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CC BY NC ND — Attribution + Noncommercial + NoDerivatives

The notion of Creative Commons was coined by Lawrence Lessig, the Net lawyer in 2001

Citizen Science

- Reaching out to citizens
- Citizens deciding research
- Citizens helping research
- Citizens doing research

Examples of Citizen Science

Monitoring local water resources around the globe
- A programme promoting citizen participation
- Volunteers use a pre-built testing kit to collect local data on four markers of water quality—temperature, turbidity, dissolved oxygen levels and acidity

Discussion on Open Science

- Why should we do Open Science?

- Why should we **NOT** do Open Science?

- What research outputs should be open?