16th IFLA ILDS Conference
9–11 October 2019
National Library of Technology
Prague, Czech Republic

BEYOND THE PAYWALL

resource sharing in a disruptive ecosystem

When There's Only One:

Resource sharing and the predicament of the dissertation request

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IFLA Interlending & Document Supply Conference
Prague, Czech Republic | October 9-11, 2019

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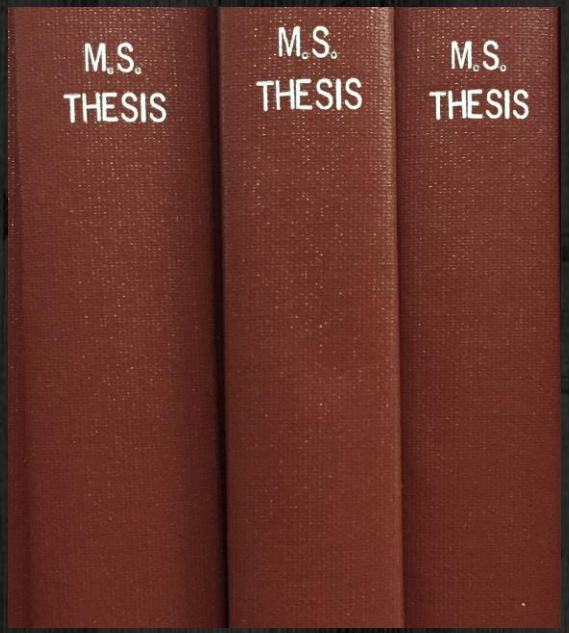


1. Introduction

Agenda Overview

- **Case Studies from the Universities of Maryland and Minnesota**
  - Borrowing
  - Lending
  - Evaluation of Author Embargos
- **Peer Survey of U.S. Research Libraries**
- **Conclusion**
  - Solutions
  - Advocacy

3. Borrowing Case Studies

Universities of Maryland and Minnesota

University of Maryland

2,570 requests

For theses and dissertations, FY 2015-2018

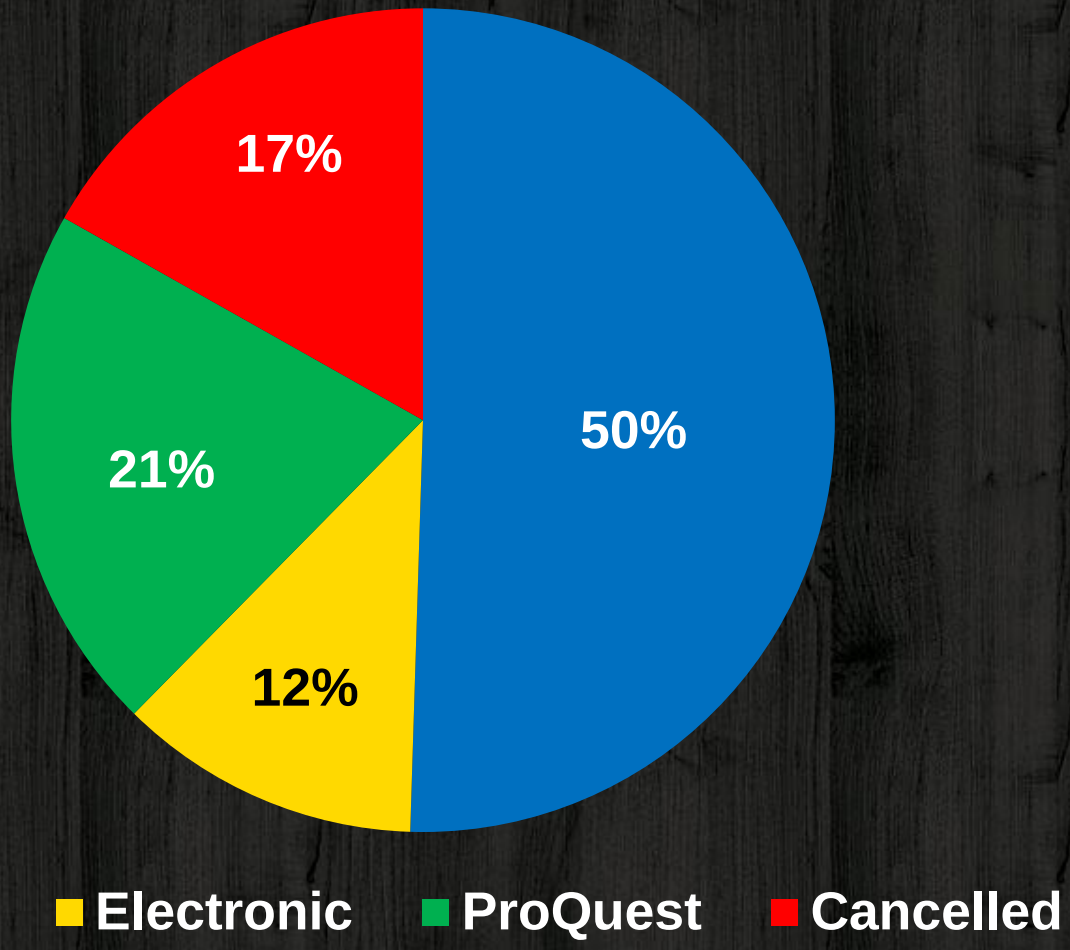
83% fill rate

Which is lower than overall fill rate of 88%

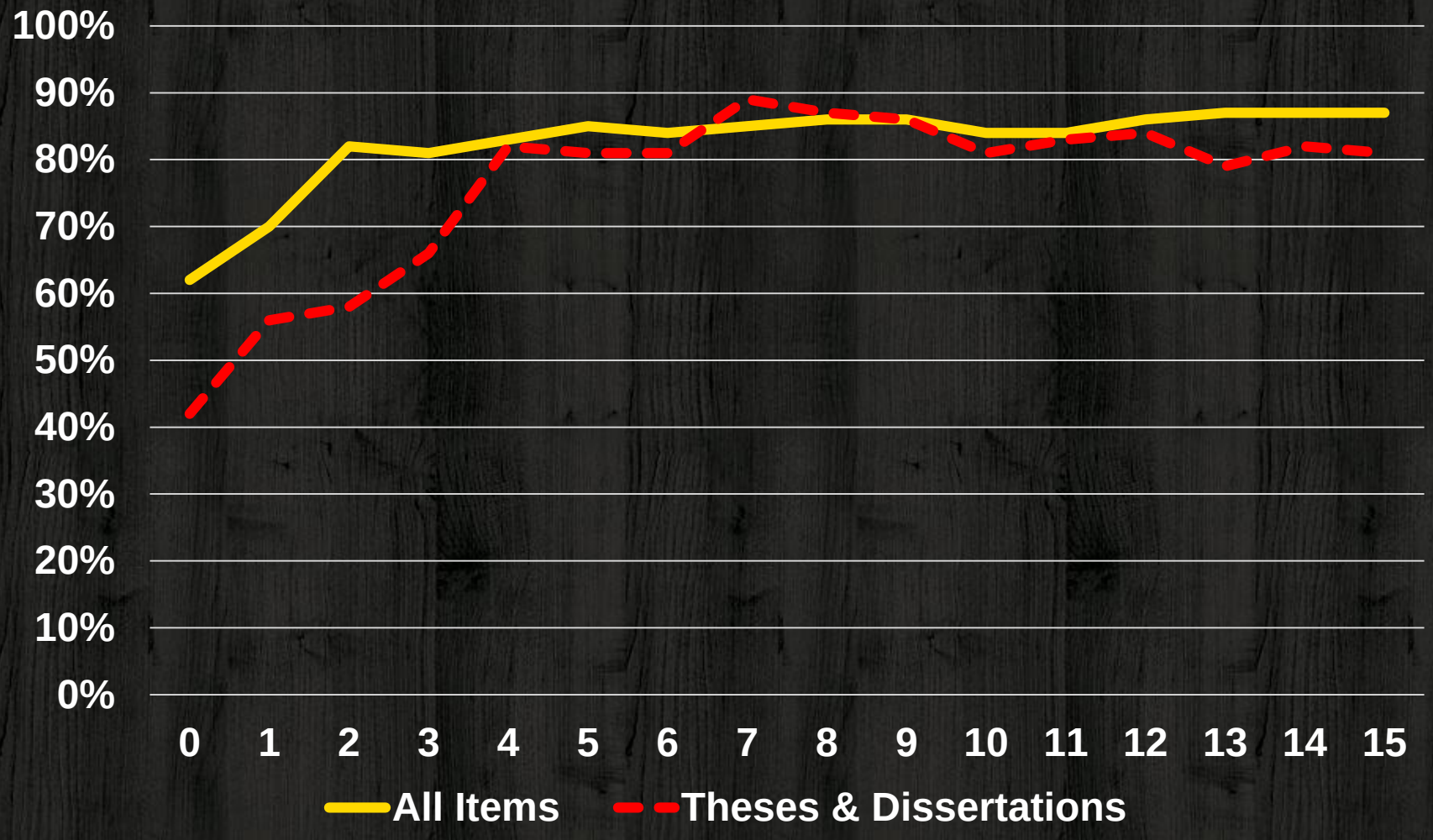
33% e-delivery

Including scanned files, open access, and ProQuest ETDs

Maryland Requests for Theses & Dissertations



Maryland Fill Rate by Material Age



Maryland Thesis & Dissertation Requests by Country

University of Minnesota

1,719 requests

For theses and dissertations, FY 2018

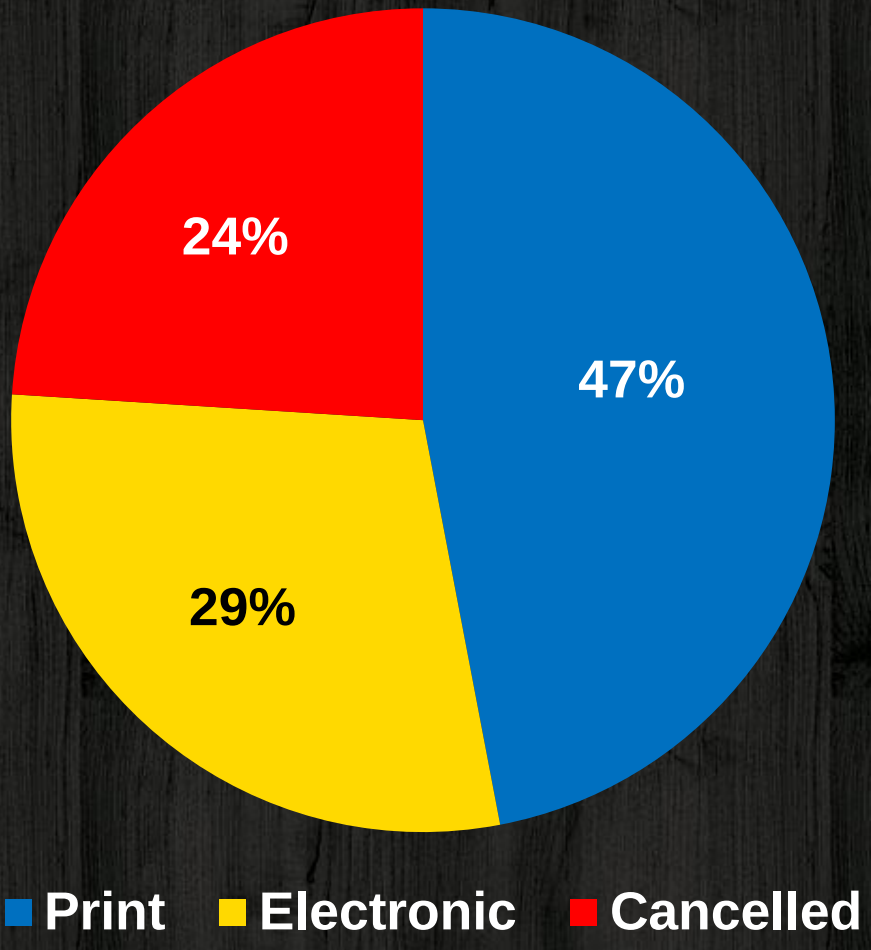
76% fill rate

Which is lower than overall fill rate of 92%

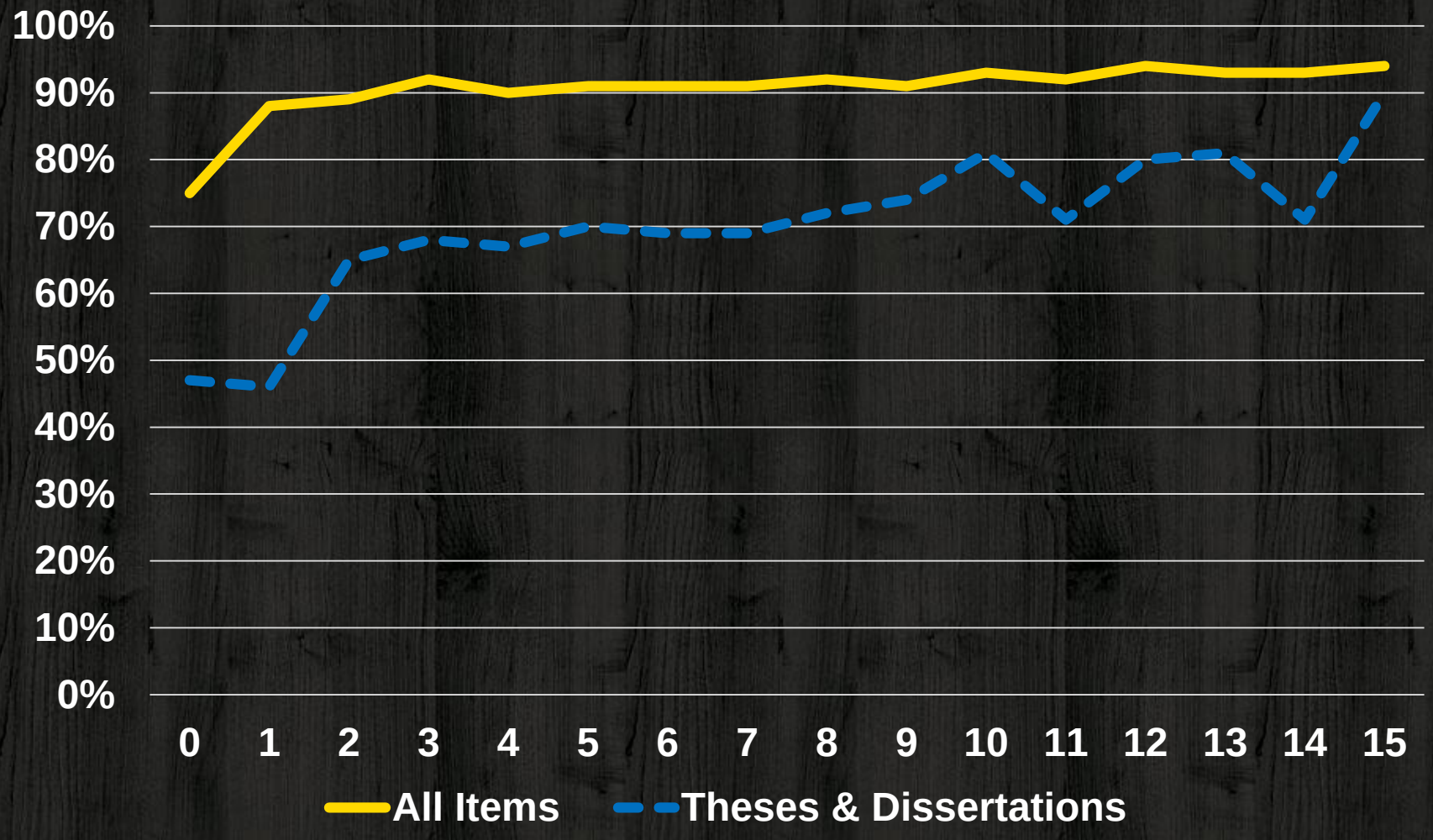
29% e-delivery

Including scanned files (19%) + open access (81%)

Minnesota Requests for Theses & Dissertations



Minnesota Fill Rate by Material Age



Minnesota Thesis & Dissertation Requests by Country

4. Lending Case Studies

Universities of Maryland and Minnesota

University of Maryland

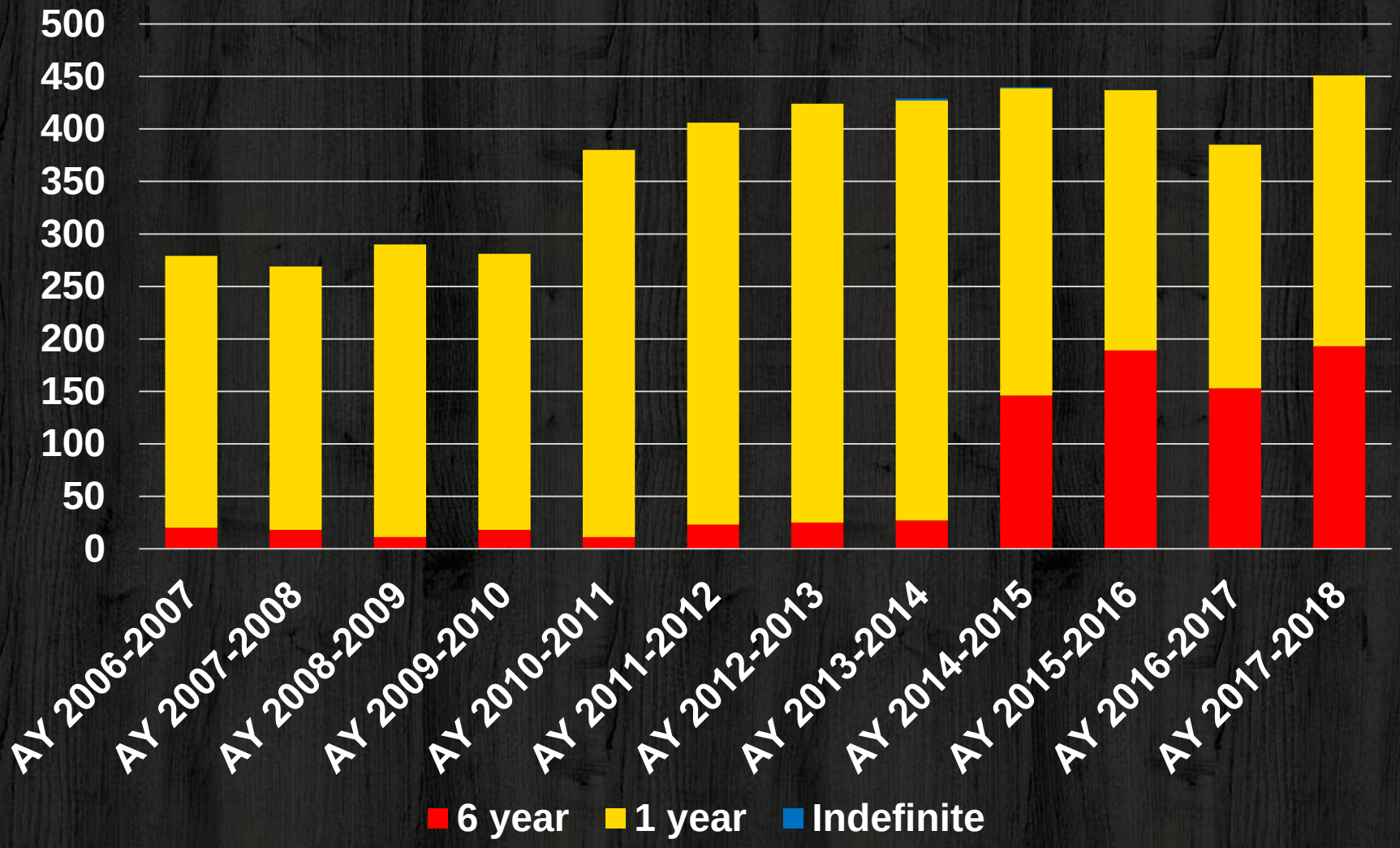
Brief History of ETDs at Maryland

2003: Mandated deposit of ETDs begin

2006: Option to embargo begins

2013: ETDs now only copy deposited

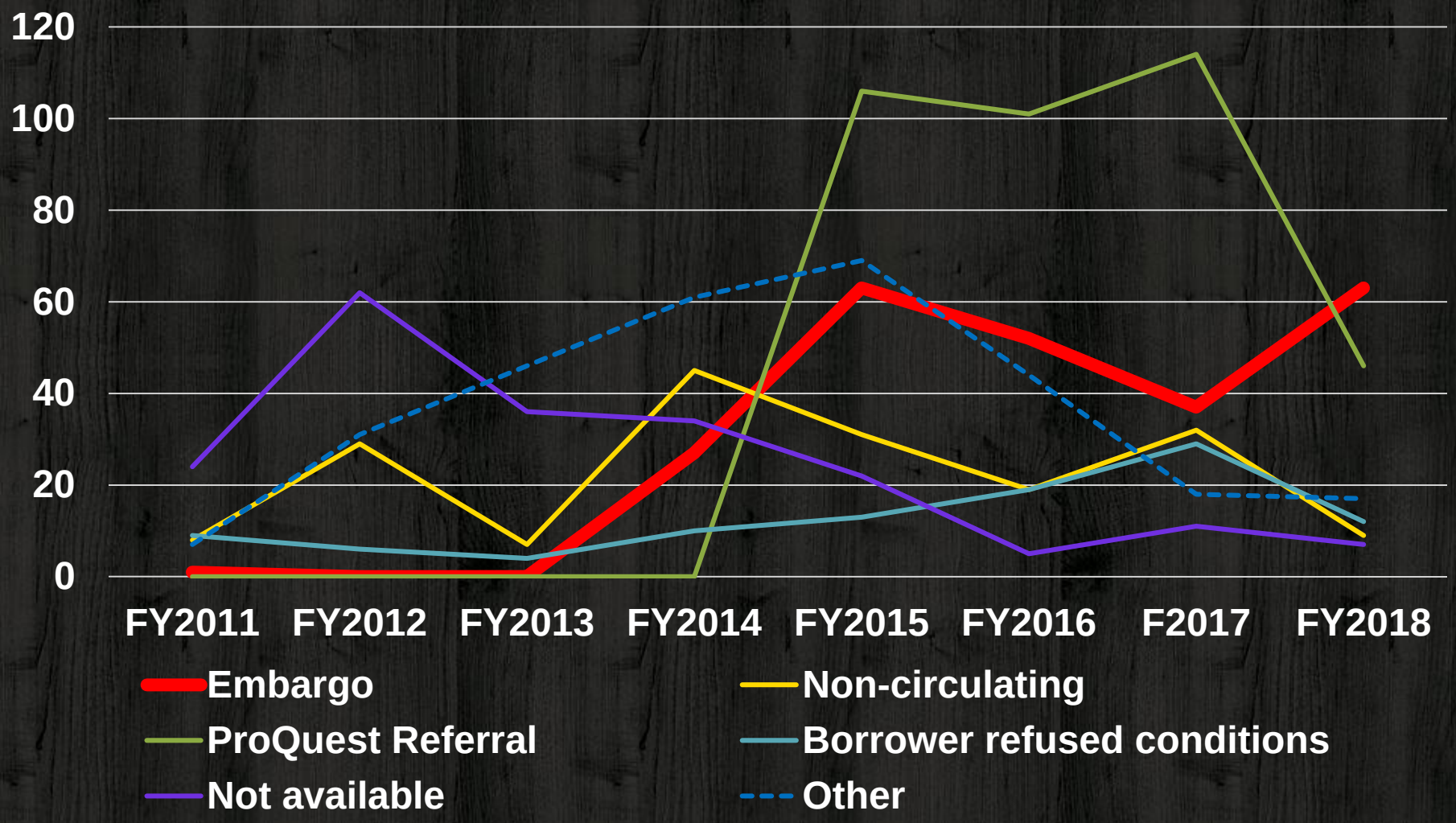
Maryland ETD Embargoes Placed by Authors



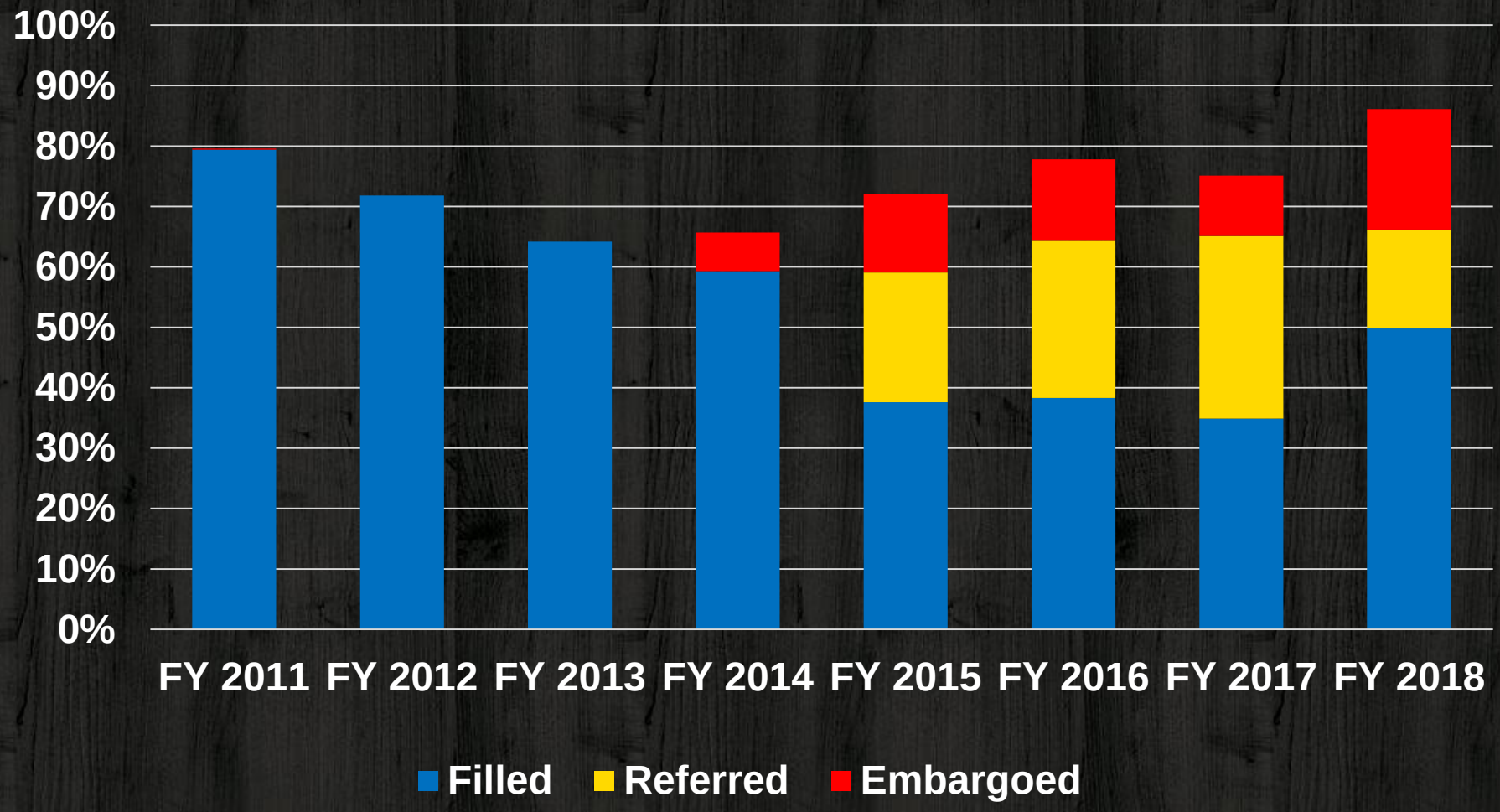
Requests for Maryland Theses & Dissertations

	Filled	Cancelled	Fill Rate
FY 2011	421	109	79%
FY 2012	329	129	72%
FY 2013	167	93	64%
FY 2014	251	172	59%
FY 2015	182	302	38%
FY 2016	147	237	38%
FY 2017	128	239	35%
FY 2018	158	159	50%

Cancellation Reasons for Maryland T&Ds

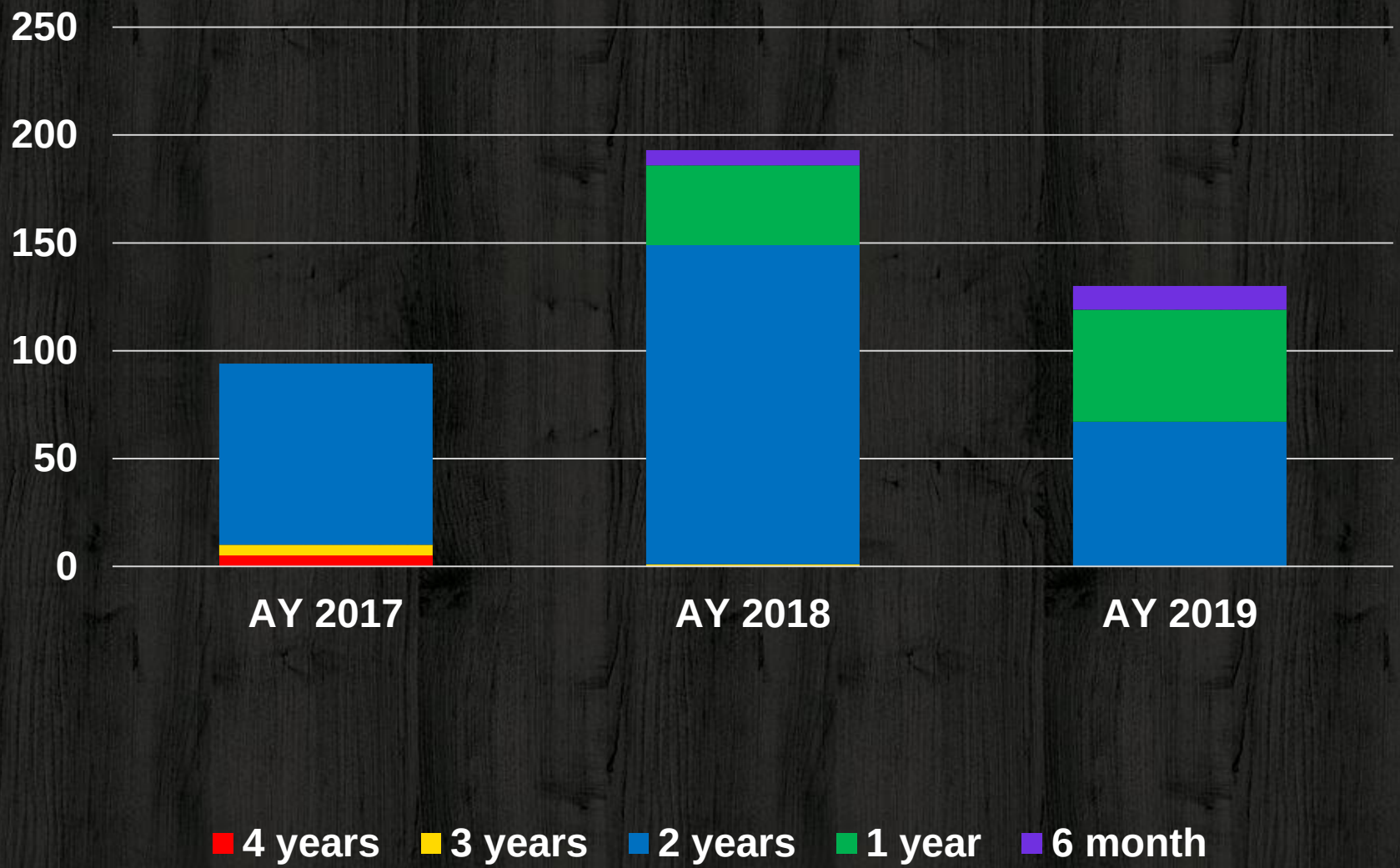


Fill Rate for Maryland Theses & Dissertations

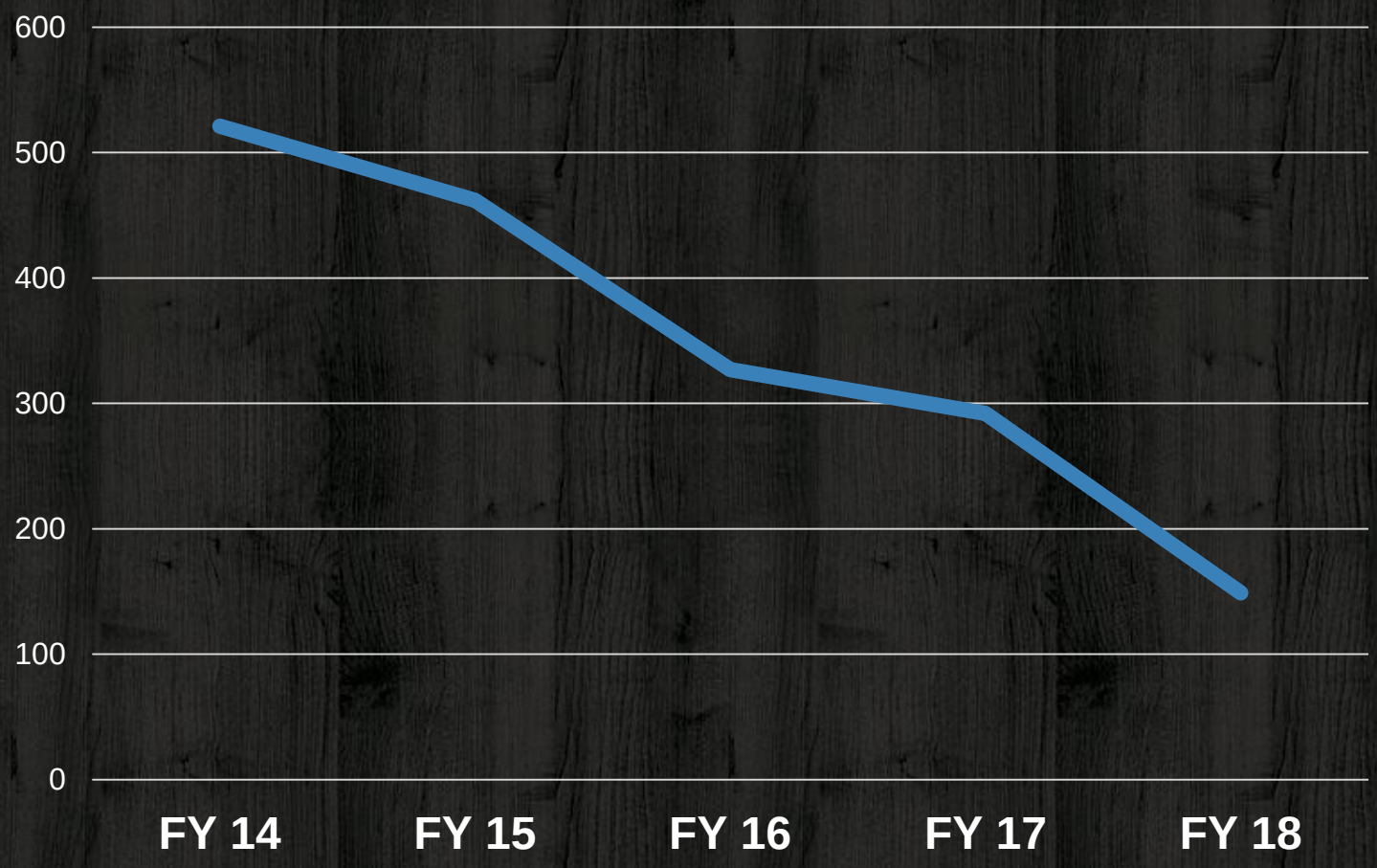


University of Minnesota

Minnesota ETD Embargoes Placed by Authors



Minnesota Lending Requests Received by Fiscal Year



84% Fill Rate

For all 5 fiscal years

99% Filled Print

1% (24 of 1,751) digitized or shared link to ETD

Low embargo impact

Those deposited from 2010-2017 were < 5% of requests

5. Peer Perspectives

Survey of R1 University Libraries in the U.S.

131 R1 universities

Survey was distributed to ILL supervisors at each

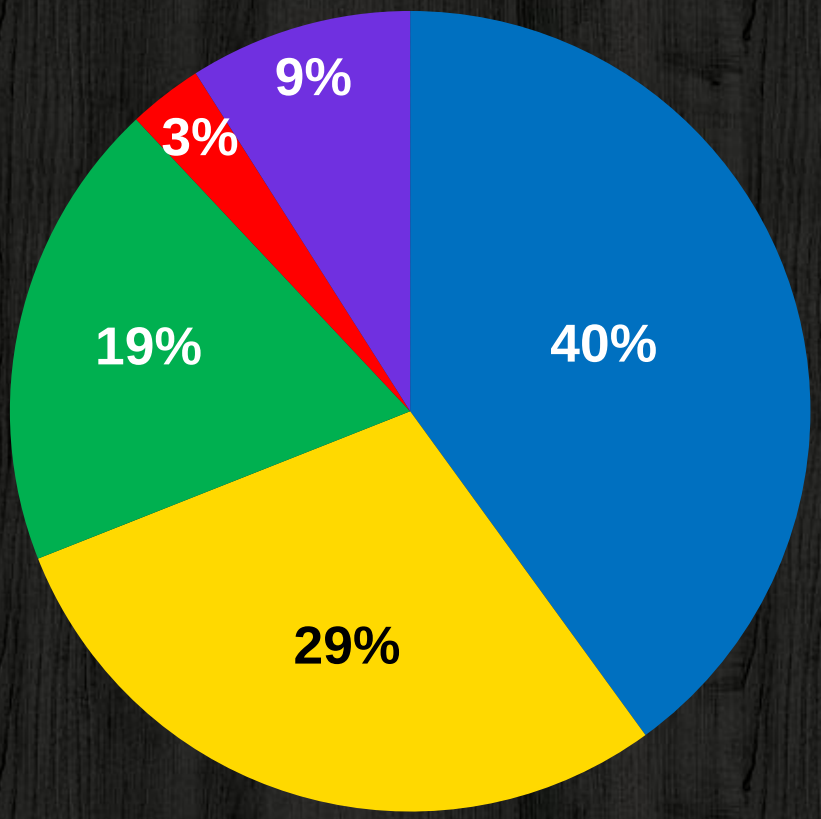
63 responses

Received over 4 week period

48% response rate

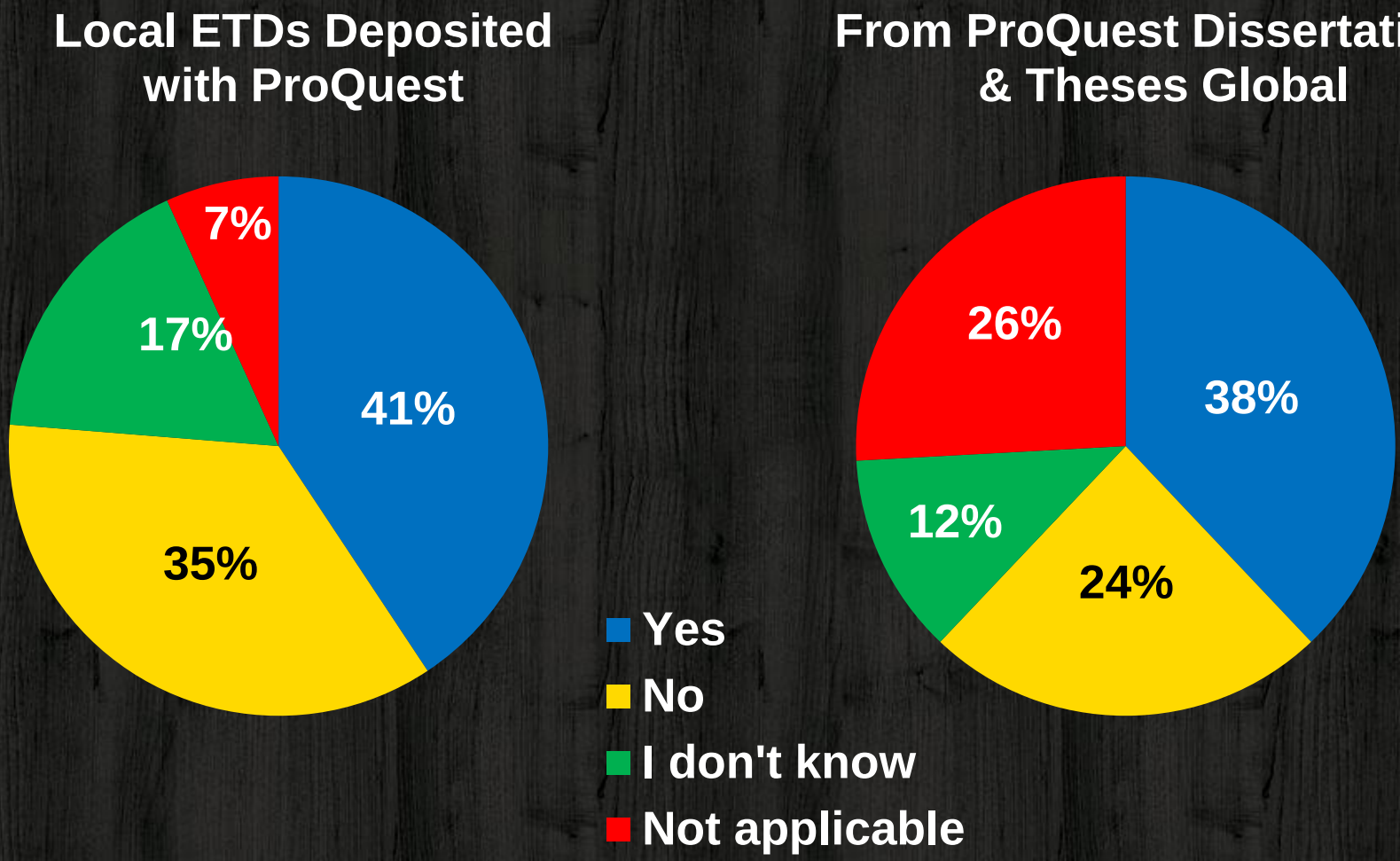
Results are likely representative for U.S. research libraries

Searching and Connecting Borrowers with ETDs

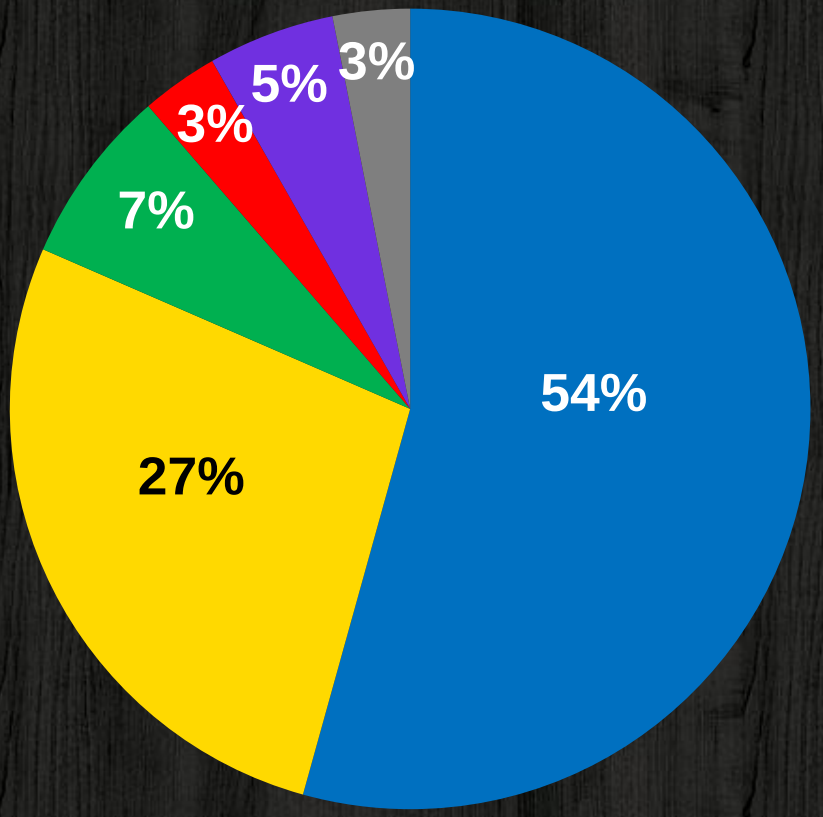


- Yes, by providing a link to full text via OCLC conditional or email
- Yes, by downloading a PDF and charging default fee
- Yes, by downloading a PDF and supplying for free
- No, we do not check our institutional repository
- Not applicable (i.e., no institutional repository with open access ETDs)

Ability to lend from ProQuest



Ability to lend embargoed ETDs



- No lending embargoed ETDs in any format
- No lending embargoed ETDs but may lend print copy
- Yes, lending embargoed ETDs is permitted
- Don't know if lending embargoed ETDs is permitted
- Don't know if embargoes are permitted
- No embargoes are permitted

Methods for Lending Embargoed ETDs

1. Contact author to request permission + PDF
2. Contact ETD team, which shares request with author (who may share directly)
3. Download and share PDF
4. Request temporary link from ETD team

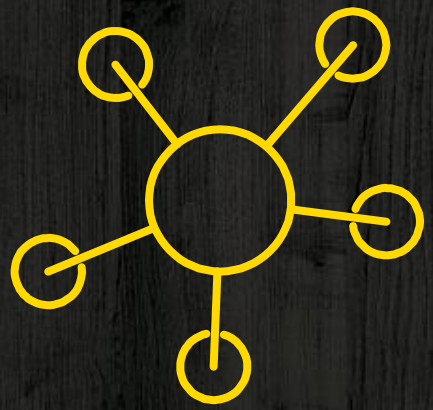
6. Conclusion

Old Obstacles

Shipping Costs
Limited Availability
Fear of Loss

New Barriers

ETD Embargoes
Licensing Restrictions



Solutions

Controlled digital lending
Rethinking restrictive policies
Understanding and negotiating licensing terms
Improving discoverability

Advocacy

Within the Library
Across the University
With Vendors

Thanks!

Any questions?

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